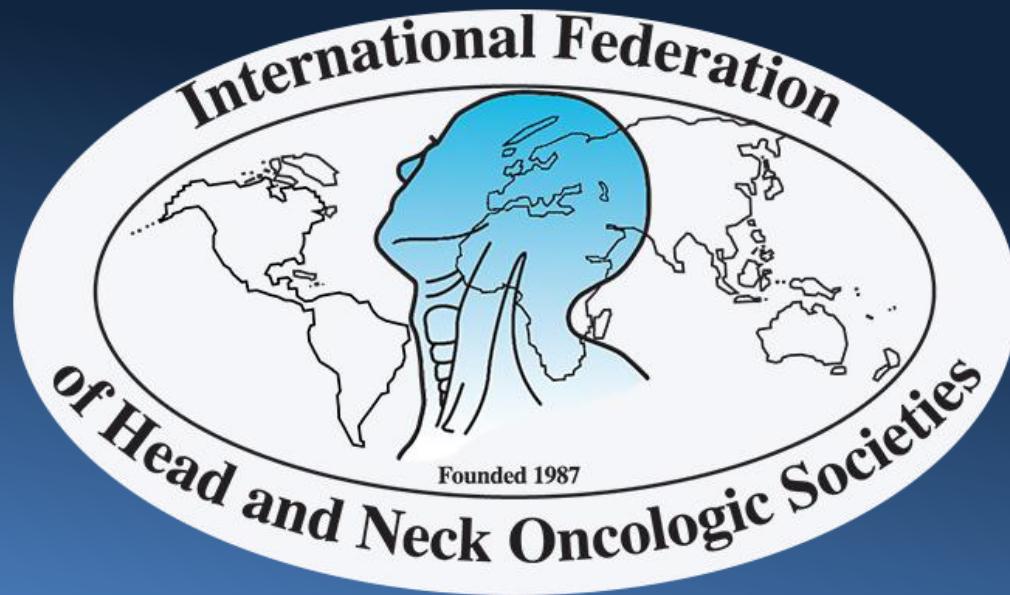


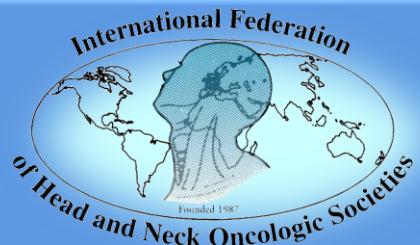


# The International Federation of Head and Neck Oncologic Societies

## Current Concepts in Head and Neck Surgery and Oncology 2018



[www.ifhnos.net](http://www.ifhnos.net)



# The International Federation of Head and Neck Oncologic Societies

## Current Concepts in Head and Neck Surgery and Oncology 2018

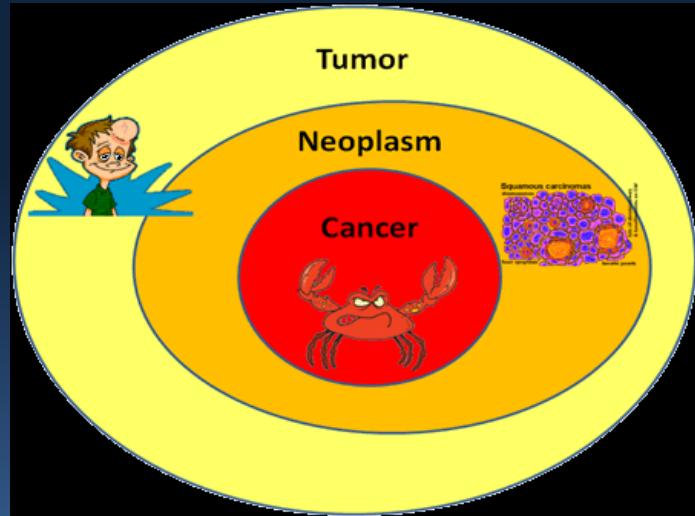
# Skull Base Surgery

Ian J. Witterick MD  
University of Toronto

# Disclosures

- Proteocyte Diagnostics Inc.
  - Ownership interest

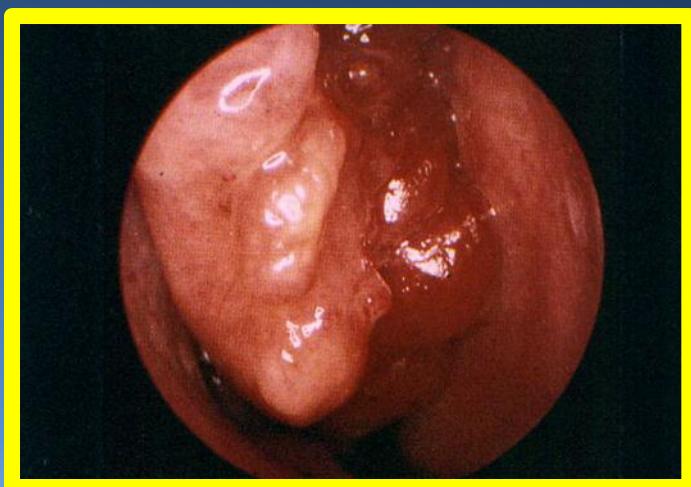
# Unilateral Nasal Mass

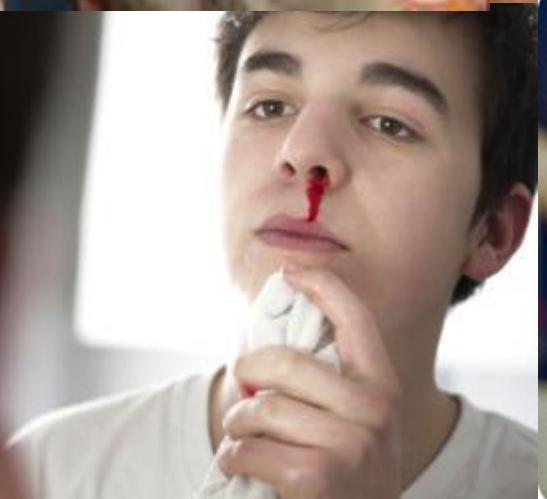


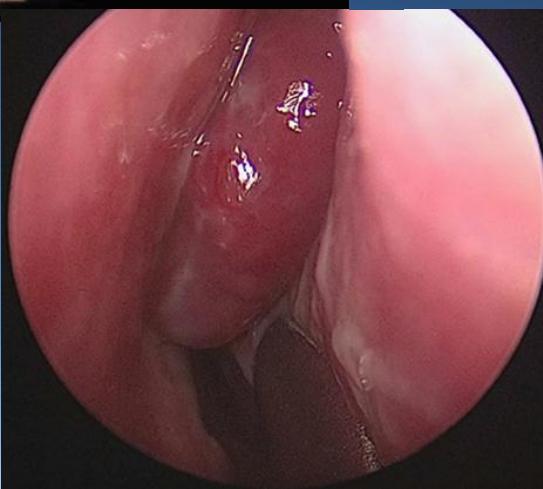
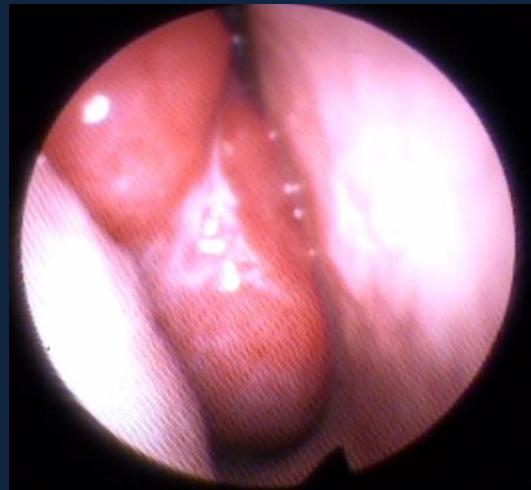
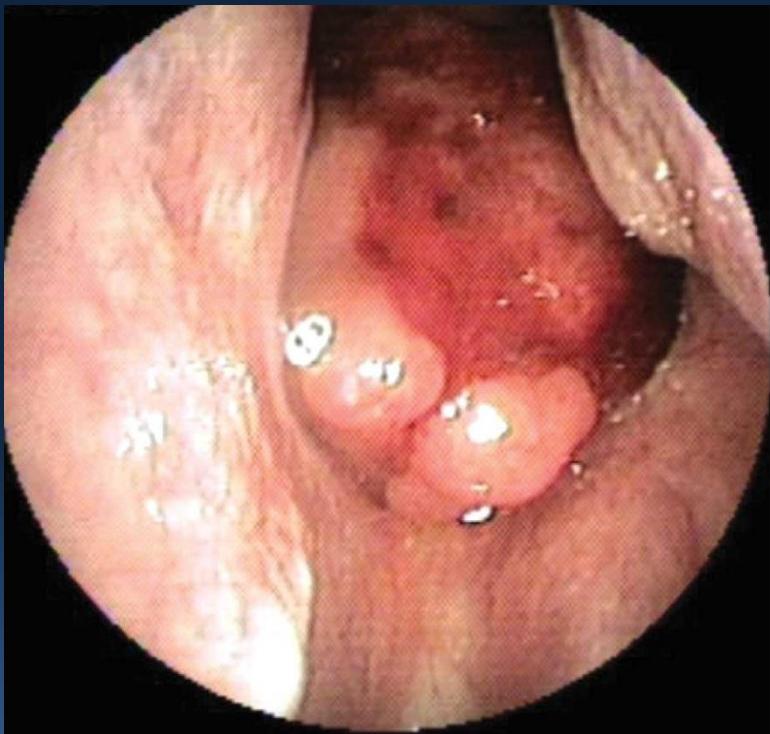


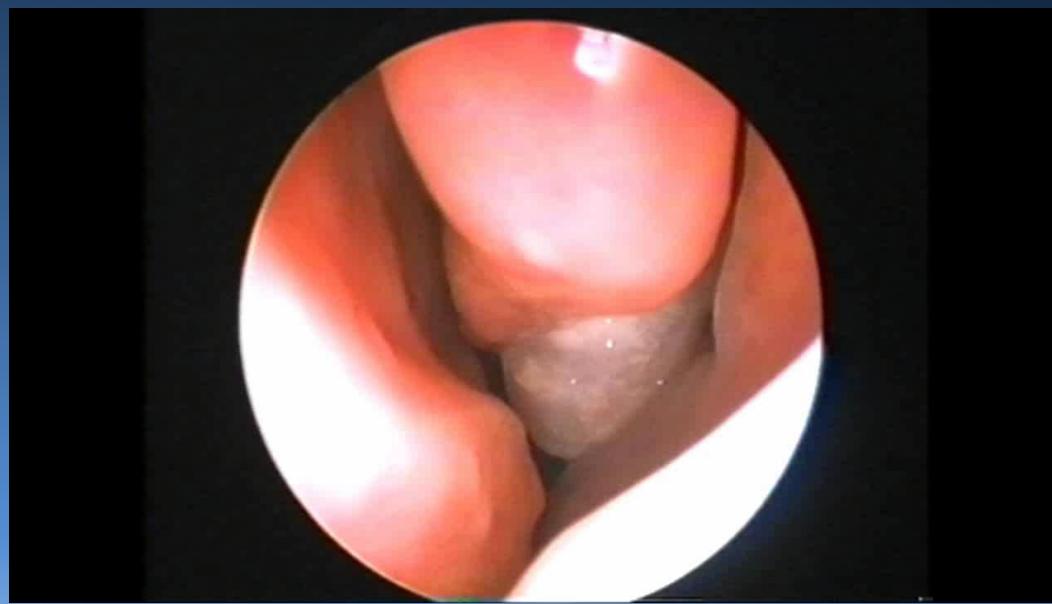
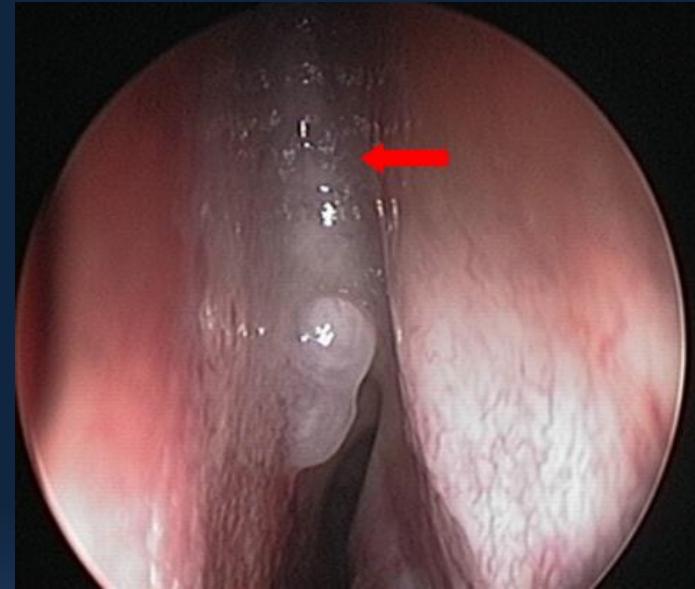


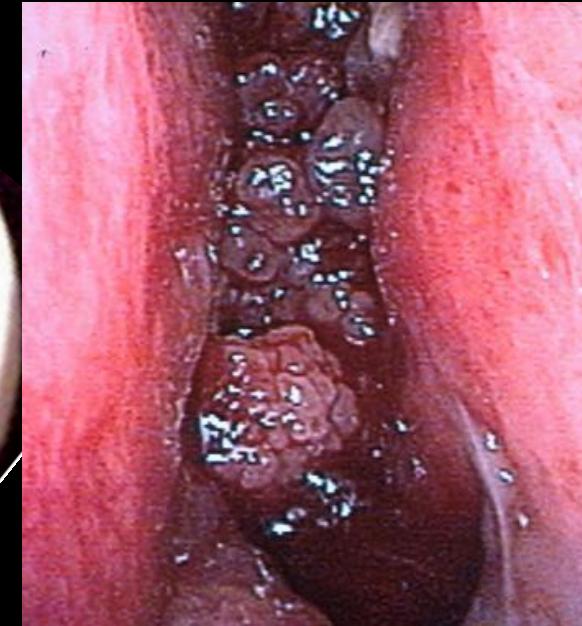
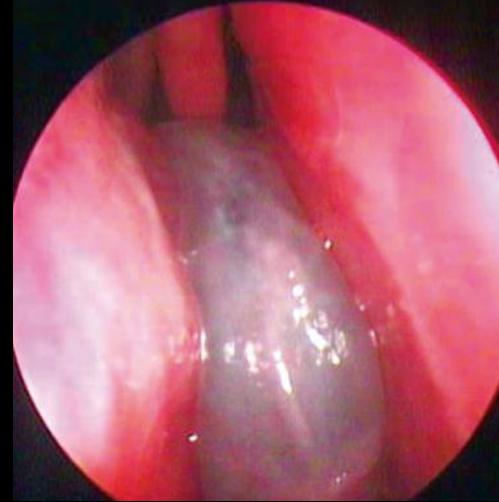
The word cloud is centered around the word "RECOGNITION" in large, bold, brown letters. Other prominent words include "PATTERN" (brown), "CLASSIFICATION" (orange), "PARSING" (red), "FEATURE" (orange), "VALUES" (red), "ITEMS" (brown), "WORD" (brown), "VECTOR" (red), "FUNCTION" (brown), "ITEMS" (brown), "STIMULUS" (brown), "REGRESSION" (brown), "CLASSIFIER" (red), "DETECTION" (brown), "AUTOMATIC" (brown), "ESTIMATION" (brown), "POSTERIOR" (red), "SYSTEM" (brown), "NETWORKS" (brown), "INPUT" (brown), "NEURAL" (brown), "CATEGORICAL" (brown), "UNLABELED" (brown), "SUPERVISED" (brown), "ENCODES" (brown), "CLUSTERING" (brown), "OUTPUT" (brown), "INCORRECT" (brown), "CONFIDENCE" (brown), and "INSTANCE" (brown). Smaller words surrounding the center include "GRAMMARS" (red), "PROBABILITIES" (red), "DATA" (brown), "COMPUTING" (brown), "PATTERN" (red), "CLOSELY" (brown), "NEURALLY" (brown), "AGGREGATING" (red), "MINING" (red), "TRAINING" (red), "COMPUTED" (brown), "ASSUMES" (brown), "CONFLICTING" (brown), "UNSUPERVISED" (red), "ENCODES" (brown), "POSTAL" (brown), "SPAM" (brown), "FORMALLY" (brown), "DIAGNOSIS" (brown), "PROCESS" (brown), "CATEGORIZED" (brown), "UNLABELED" (brown), "PROBABILISTIC" (brown), "ENCODES" (brown), "CLUSTERING" (brown), "OUTPUT" (brown), "INCORRECT" (brown), "CONFIDENCE" (brown), and "INSTANCE" (brown).











# Histopathology

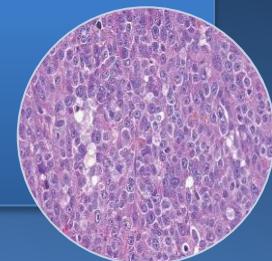
- Epithelial
  - IP
  - Squamous papilloma
- Fibro-osseous
- Vascular (JNA)

Benign

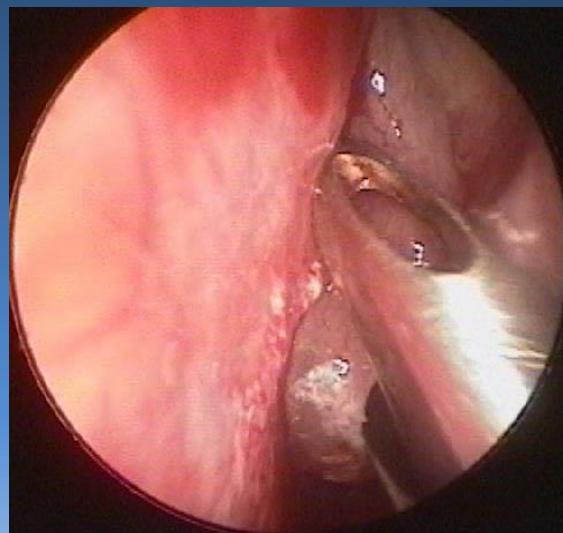
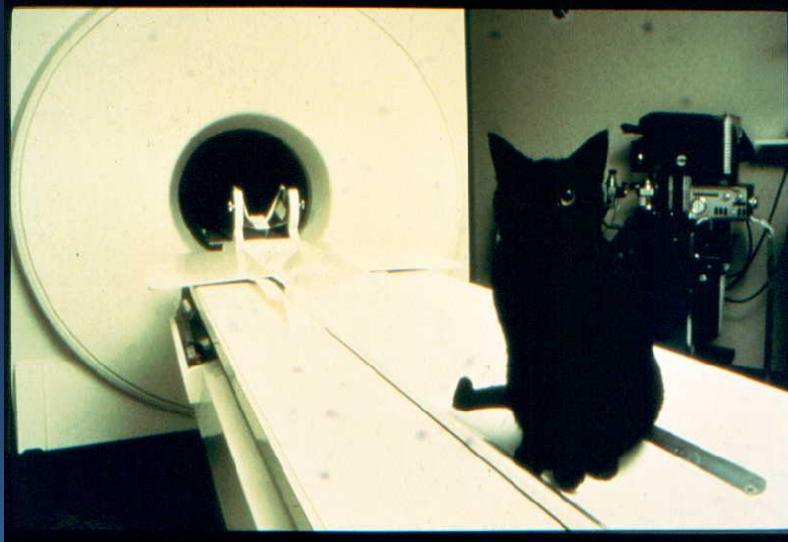


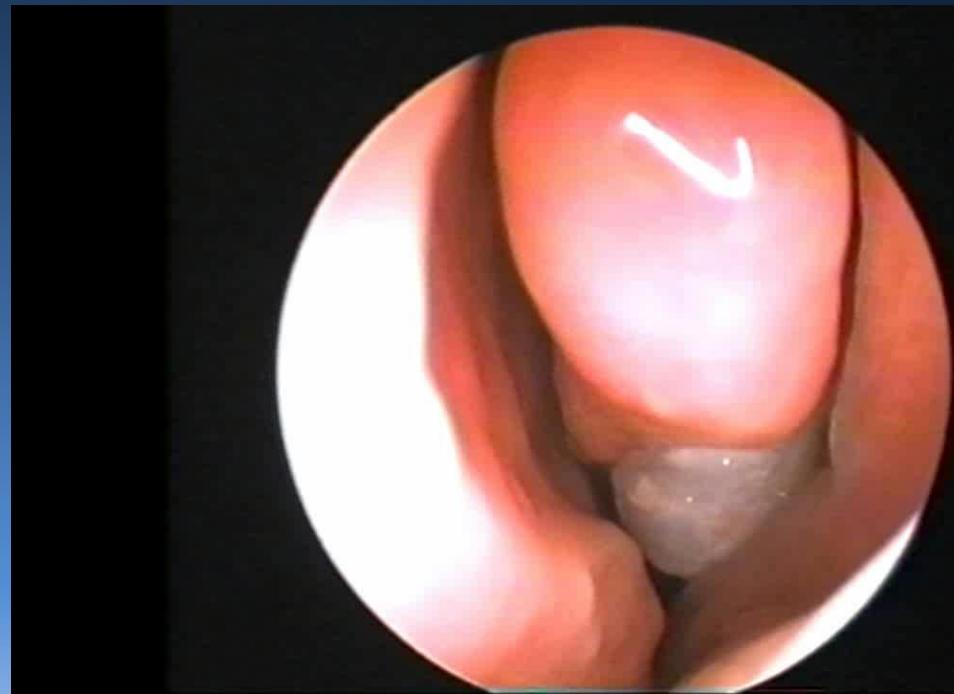
- Epithelial
  - SCC, AdenoCa, Adenoid cystic
- Neuroendocrine (esthesio, SNUC)
- Sarcomas
- Melanoma
- Lymphoma/plasmacytoma

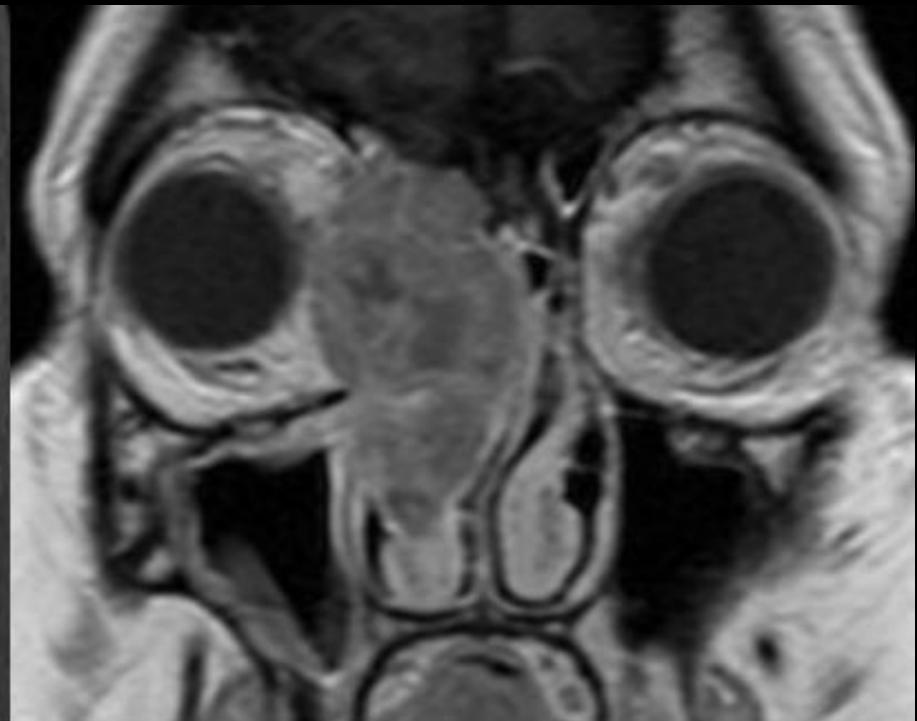
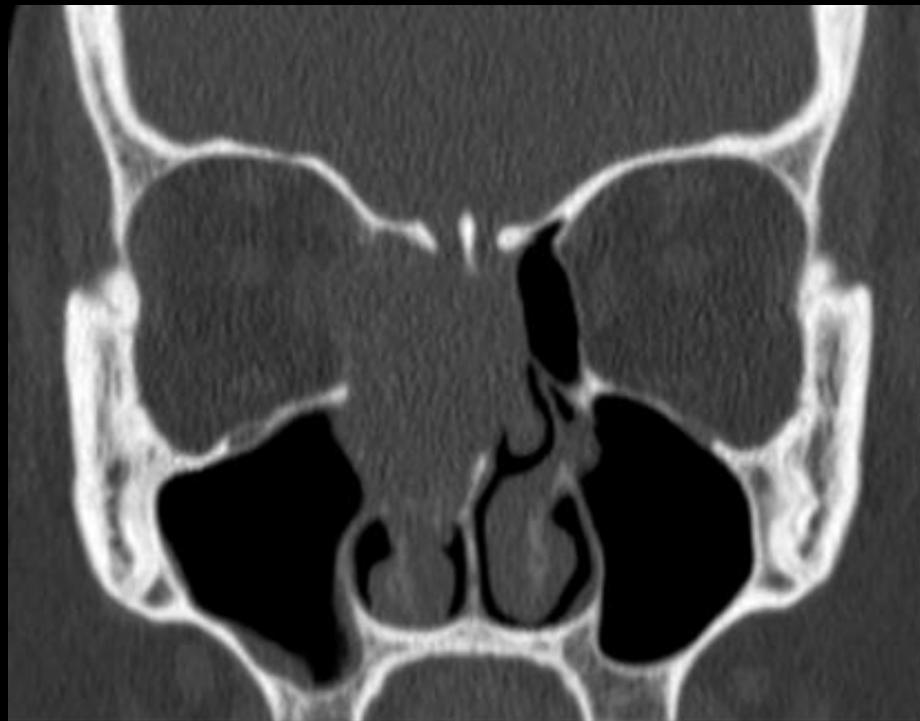
Malignant

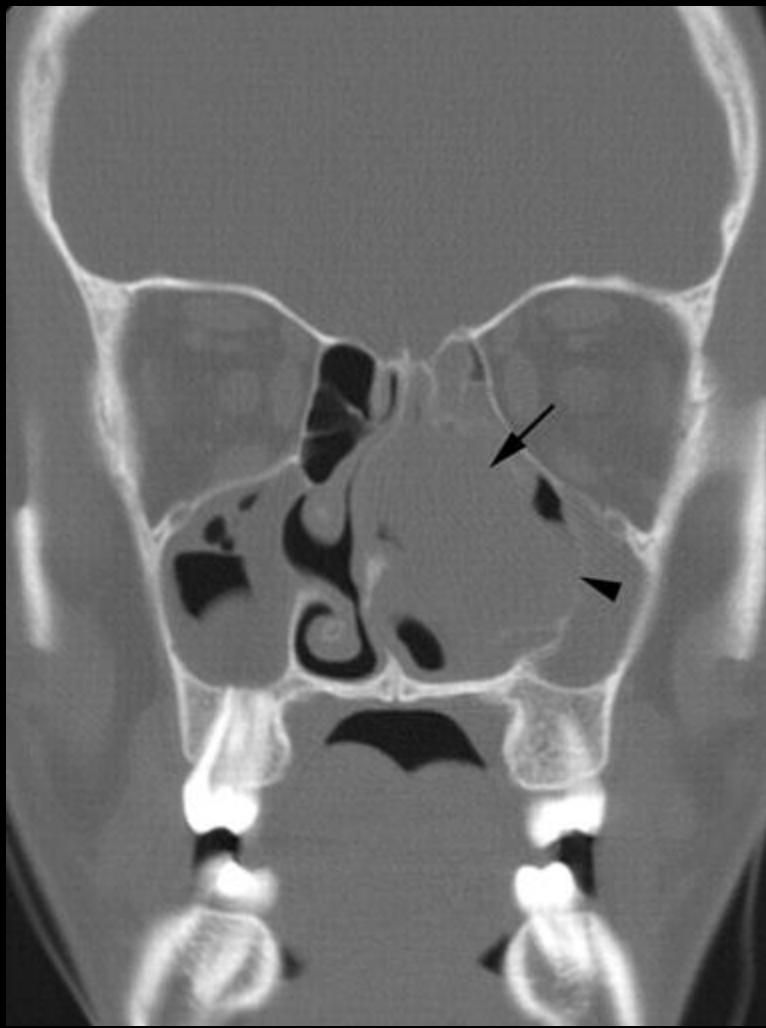


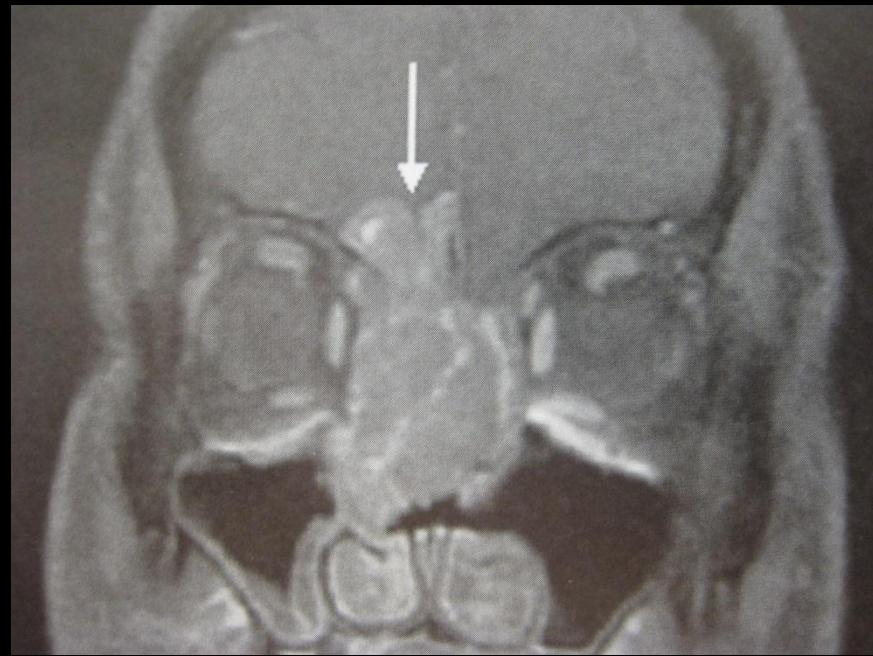
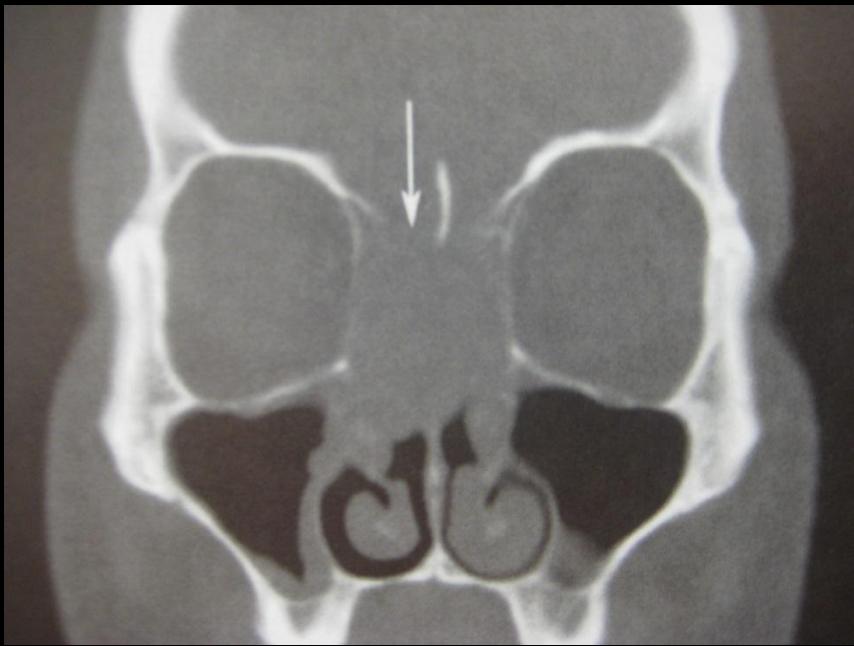
# Investigations

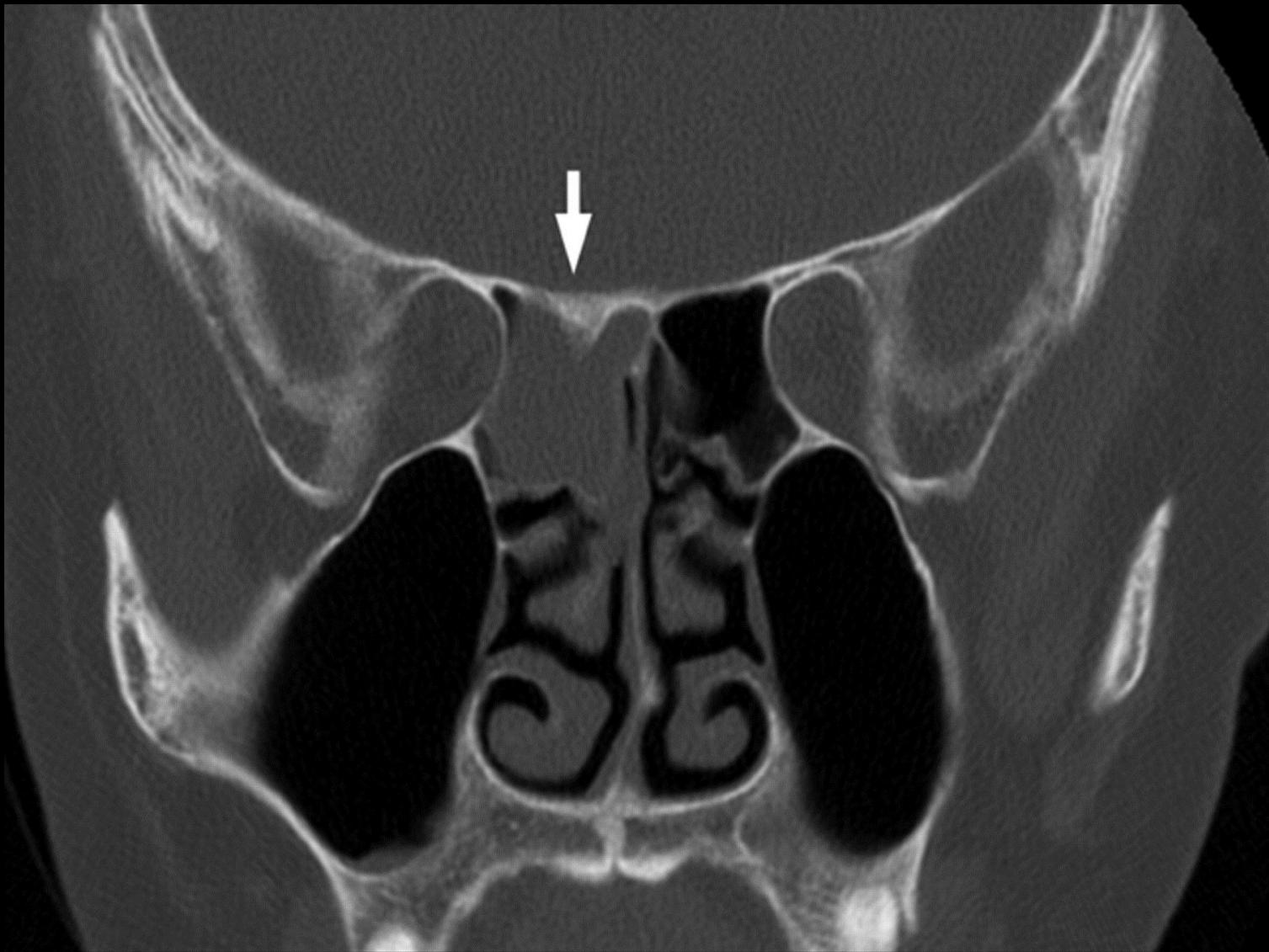














# Benign Neoplasms

- Is removal indicated?
- Can you remove safely/completely?
- Exposure
- Equipment
- Control of bleeding, orbit, CSF



# The International Federation of Head and Neck Oncologic Societies

## Current Concepts in Head and Neck Surgery and Oncology 2018

# Malignancy...

# Epidemiology

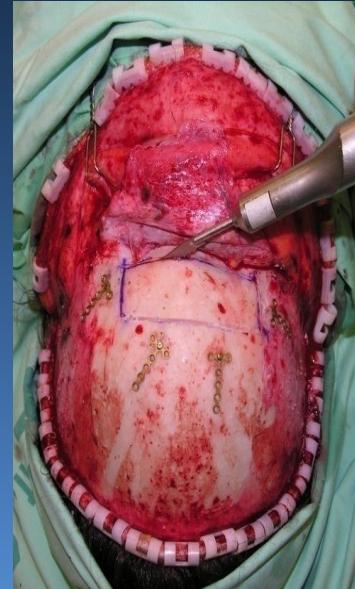
- Incidence 1:200,000 (USA)
- 3% of H&N malignancies
- Male predominance 1.8:1
- Age: 55-69 years
- Common histology: SCC
- Common sites:
  - nasal cavity (44%)
  - maxillary sinus (36%)

# Risk Factors

- Environmental/occupational:  
*aflatoxin, formaldehyde, chromium, nickel, aluminum, mustard gas, polycyclic hydrocarbons, mesothorium (Thorotrast), wood dust*
- Smoking (SCC)
- Smoked food
- HPV (inverted papilloma)

# Treatment

- Surgery
- XRT
- Chemotherapy
- Combinations



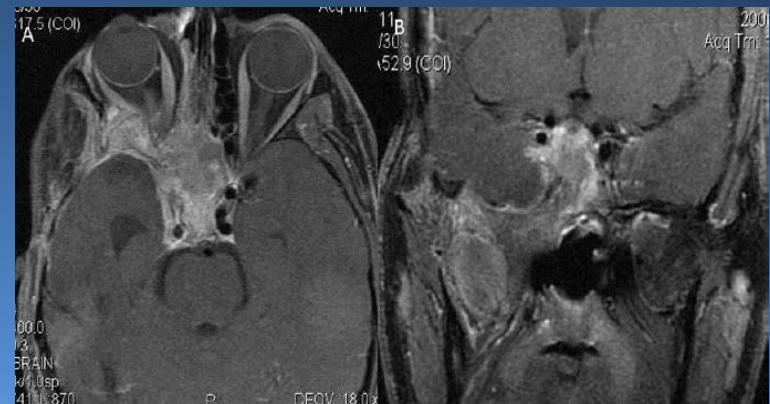
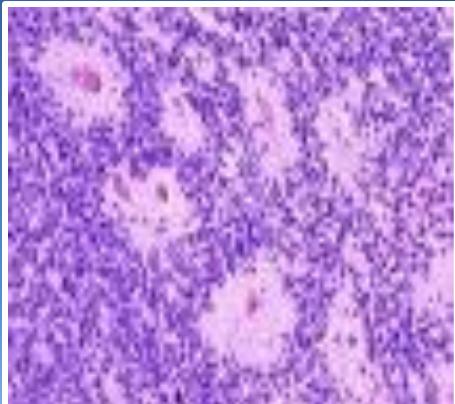
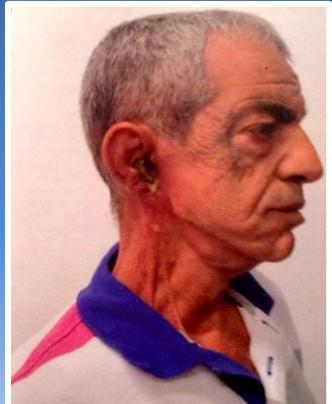


# The Multidisciplinary Team



# Principles of Treatment

- Primary site, stage
- Tumor biology  
*ENB, SNUC, SNEC, poorly diff. Ca, melanoma, ACC*
- Patient characteristic – age, comorbidities
- Extension  
*lateral SB, PPS, PMF, dura, carotid, brain, local, distant mets*



# Radiation Therapy

- Most patients get XRT (64%)
- Definitive XRT (single modality):  
*5y local control* 43%
- Better as an adjuvant to surgery

*5y local control* 84%  
*5y overall survival* 67%



Mendenhall et al.  
Laryngoscope 2009

Hoppe et al. Radiat  
Oncol Biol Phys 2008

# Radiation Therapy

## The tradeoff:

- High dose required for disease control
- Sensitivity of adjacent structures

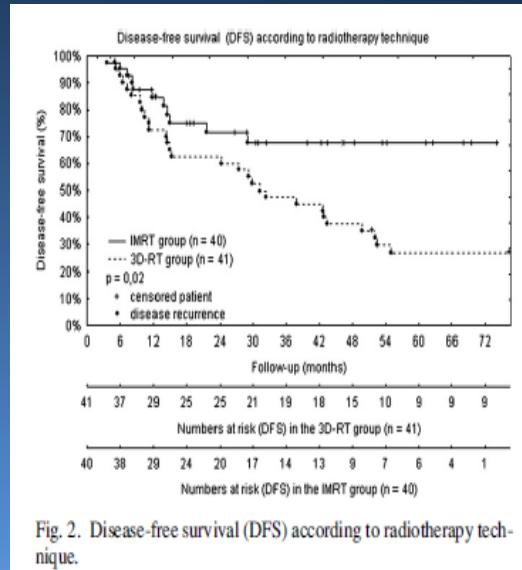
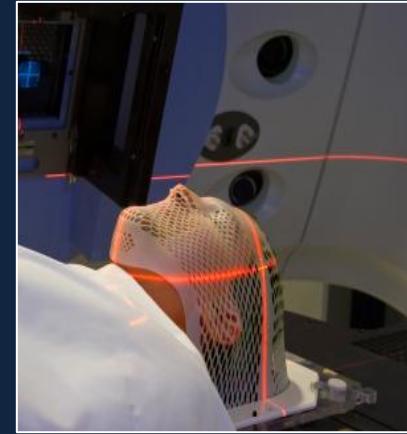


Fig. 2. Disease-free survival (DFS) according to radiotherapy technique.



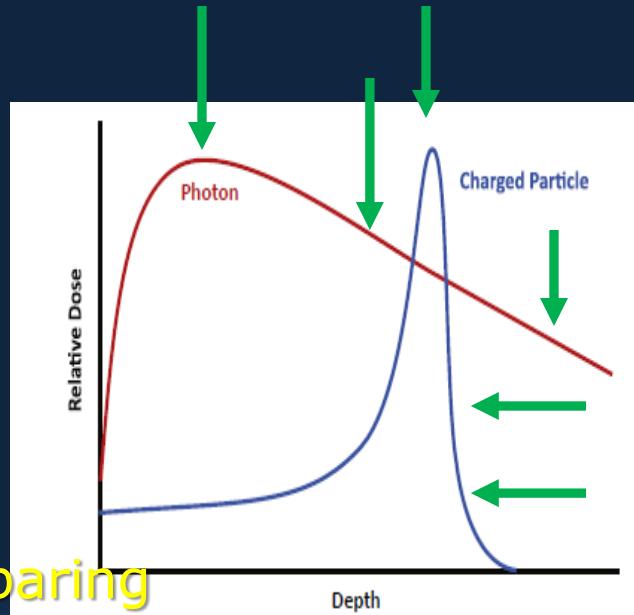
Dirix et al, Int J Rad Onc Biol Phys, 2010

- Proton RT may be advantageous

Fossani et al, Reports of practical oncol. and radiothe., 2016

# Proton RT

- Most dose is deposited at a characteristic depth (“Bragg peak”)
- Potential to
  - Reduce toxicity – normal tissue sparing
  - Improve disease control – treatment intensification



Definitive proton radiation therapy and concurrent cisplatin for unresectable head and neck adenoid cystic carcinoma: A series of 9 cases and a critical review of the literature

Onita Bhattachari, MD, MPH,<sup>1</sup> Emma Holliday, MD,<sup>2</sup> Merrill S. Kies, MD,<sup>3</sup> Ehab Y. Hanna, MD,<sup>4</sup> Adam S. Garden, MD,<sup>2</sup> David I. Rosenthal, MD,<sup>2</sup> William H. Morrison, MD,<sup>2</sup> G. Brandon Gunn, MD, PhD,<sup>2</sup> C. David Fuller, MD, PhD,<sup>2</sup> X. Ronald Zhu, PhD,<sup>5</sup> Steven J. Frank, MD<sup>2\*</sup>

Head and Neck Cancers

## Long-Term Outcomes After Proton Beam Therapy for Sinonasal Squamous Cell Carcinoma

Andrea L. Russo, MD,\* Judith A. Adams, CMD,\* Elizabeth A. Weyman, BA,\* Paul M. Busse, MD,\* Saveli I. Goldberg, PhD,\* Mark Varvares, MD,† Daniel D. Deschler, MD,† Derrick T. Lin, MD,† Thomas F. Delaney, MD,\* and Annie W. Chan, MD\*



International Journal of Radiation Oncology  
biology • physics

[www.redjournal.org](http://www.redjournal.org)



Head and Neck Cancers

## Outcomes of Sinonasal Cancer Treated With Proton Therapy

Roi Dagan, MD, MS,\*† Curtis Bryant, MD,\*† Zuofeng Li, DSC,\*‡ Daniel Yeung, PhD,\*‡ Jeb Justice, MD,† Peter Dzieglewski, MD,‡ John Werning, MD,‡ Rui Fernandes, MD, DMD,§ Phil Pirgousis, MD, DDS,§ Donald C. Lanza, MD,|| Christopher G. Morris, MS,\*† and William M. Mendenhall, MD,\*†

# Proton RT

- Meta-analysis, 41 cohorts
- Charged particle RT (CPRT) vs photon RT
- n=1472, 286 for CPRT

Patel et al, Lancet Oncology, 2014

## CPRT confers better

- **5y OS                   (RR 1.51, p=0.0038)**
- **5y DFS                   (RR 1.93, p=0.0003)**
- **LRC at 5 yrs           (RR 1.06, p=0.79)**

## Subgroup analysis: proton RT vs IMRT

- **Better 5y OS for proton RT  
(RR 1.44, p=0.045)**
- **Better LRC\* for proton RT  
(RR 1.26, p=0.011)**

# Timing of Radiation

*The Laryngoscope*  
© 2018 The American Laryngological,  
Rhinological and Otological Society, Inc.

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## Impact of Neoadjuvant Radiation on Margins for Non–Squamous Cell Carcinoma Sinonasal Malignancies

---

Fu T, Chin CJ, Xu W, Che J, Huang SJ, Monteiro E, Alghonaim Y, Ringash J, Witterick IJ.

- 23 neoadjuvant and 61 adjuvant RT
- A higher proportion of patients receiving neoadjuvant RT achieved negative/close resection margins compared to those receiving adjuvant RT
  - 83% vs. 41%,  $p = .003$

# Chemotherapy

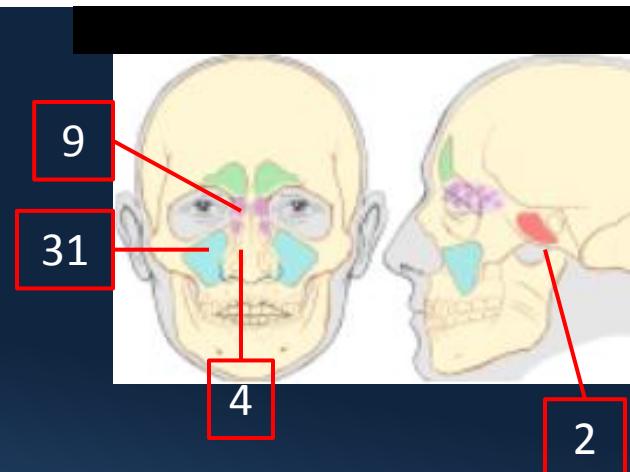
- Adjuvant chemotherapy
- Neoadjuvant chemotherapy
- Maintenance
- Palliative treatment
- Combined with XRT
  - Sequential
  - Concurrent



## Induction chemotherapy for advanced squamous cell carcinoma of the paranasal sinuses.

Hanna EY<sup>1</sup>, Cardenas AD, DeMonte F, Roberts D, Kupferman M, Weber R, Rosenthal D, Kies M.

- N=46, stage III/IV SCC
- 12 N+
- Induction chemo
  - Taxane/platinum 80%
- Response
  - Partial 67%
  - Stable 24%
  - Progression 9%



24/46 surgery

+/- PORT or chemoRT



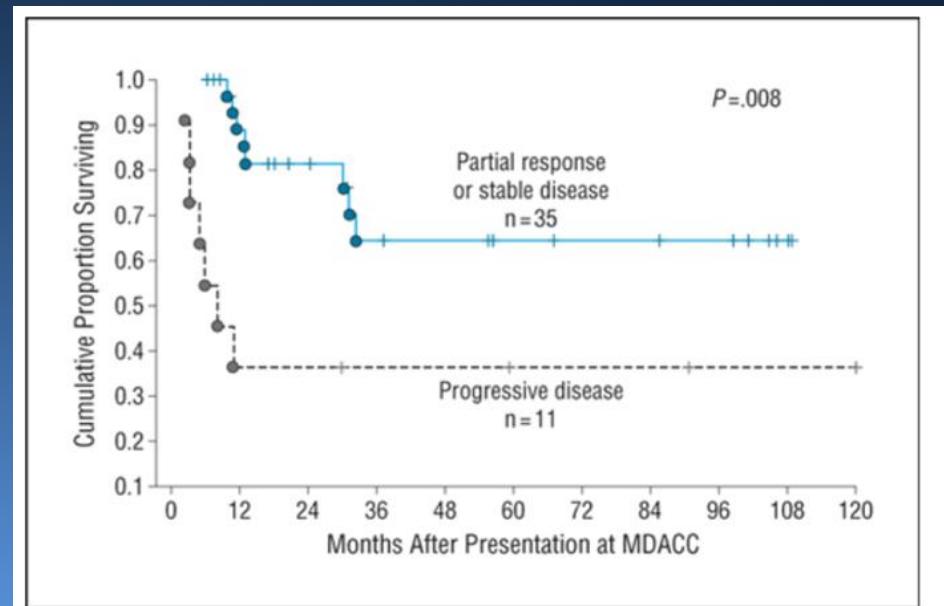
2 year OS

Progressive Disease

36%

Partial/Stable

77%



Disease specific survival

# Trends in Survival and Demographics

## **IMPROVEMENT IN SURVIVAL DURING THE PAST 4 DECADES AMONG PATIENTS WITH ANTERIOR SKULL BASE CANCER**

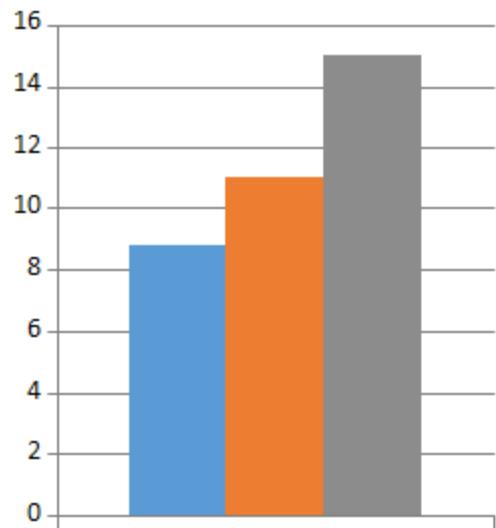
Ziv Gil, MD, PhD,<sup>1,2</sup> Dan M. Fliss, MD,<sup>2</sup> Oren Cavel, MD,<sup>2</sup> Jatin P. Shah,<sup>3</sup> Dennis H. Kraus<sup>3</sup>

Head & Neck 2011

- Pooled data from two cancer centers
- n=282

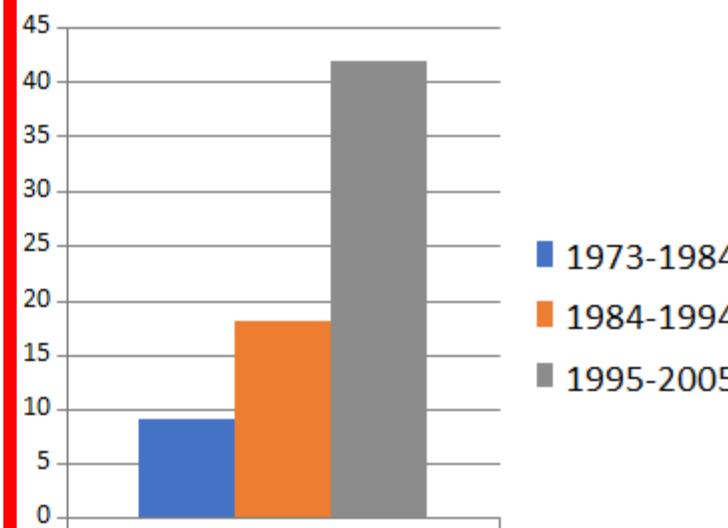
# Demographics Changes in *Patient* Characteristics

↑ Age    p=0.08



>70 Years Old

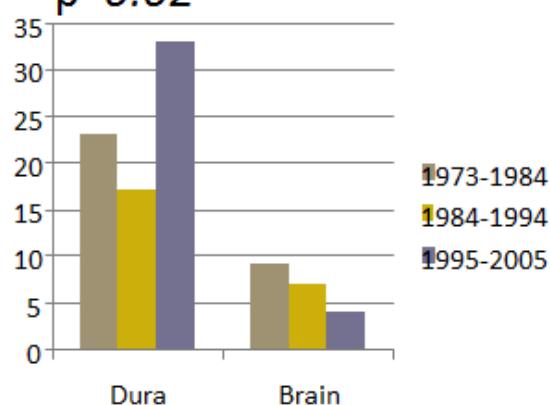
↑ Comorbidities  
p<0.001



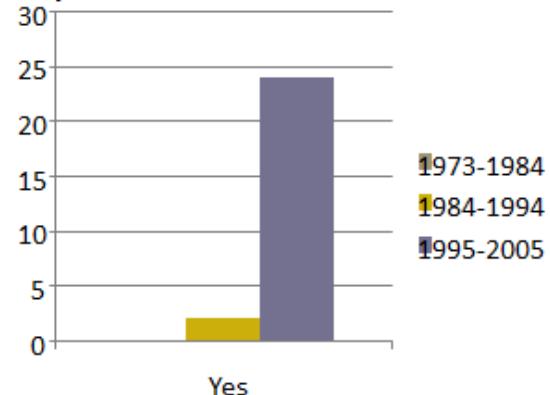
Yes

# Changes in Tumour Characteristics

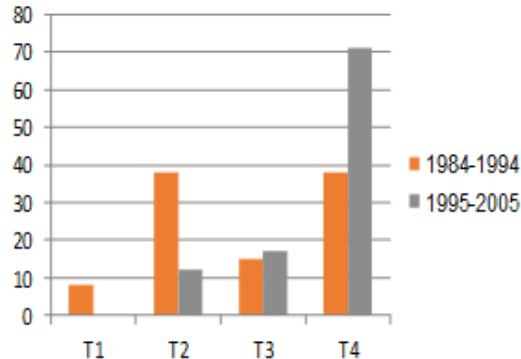
↑ Intracranial involvement  
 $p=0.02$



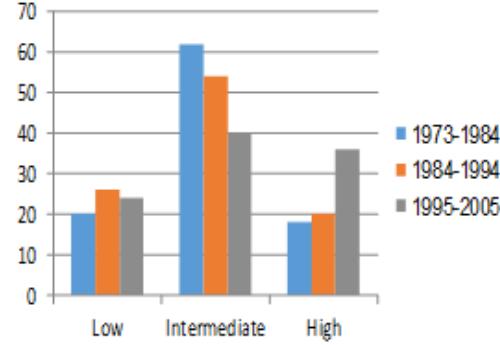
↑ Pterygopalatine extension  
 $p<0.001$



↑ T Stage  
 $p=0.04$



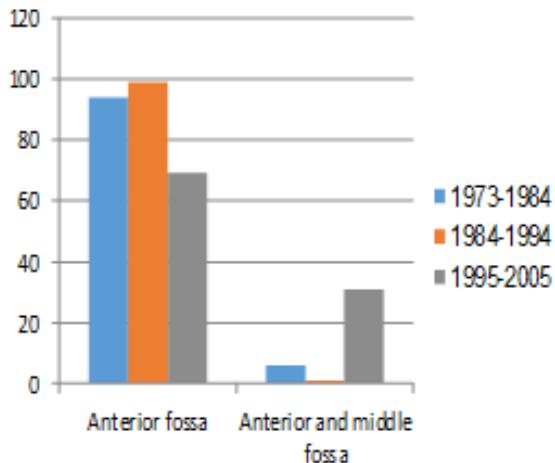
↑ Histological grade  
 $p=0.03$



# Changes in Treatment

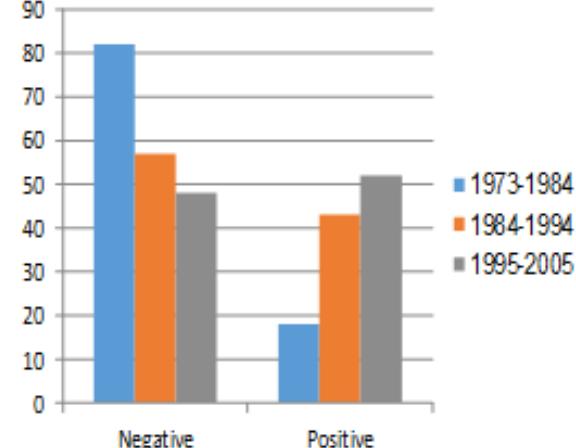
↑ Extent of surgery

P<0.001

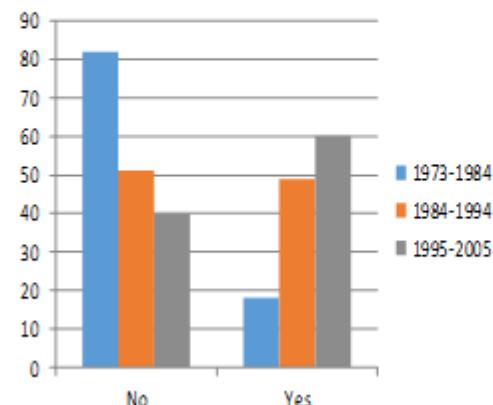


↑ Positive margins

P<0.001



↑ Adjuvant radiotherapy P<0.001



# Trends in Survival and Demographics

1. Patients operated on today: older with more comorbidities
2. Surgery performed for more advanced stage and higher grade tumors
3. As a result - a higher rate of positive margins
4. More adjuvant therapy

# Trends in Survival and Demographics

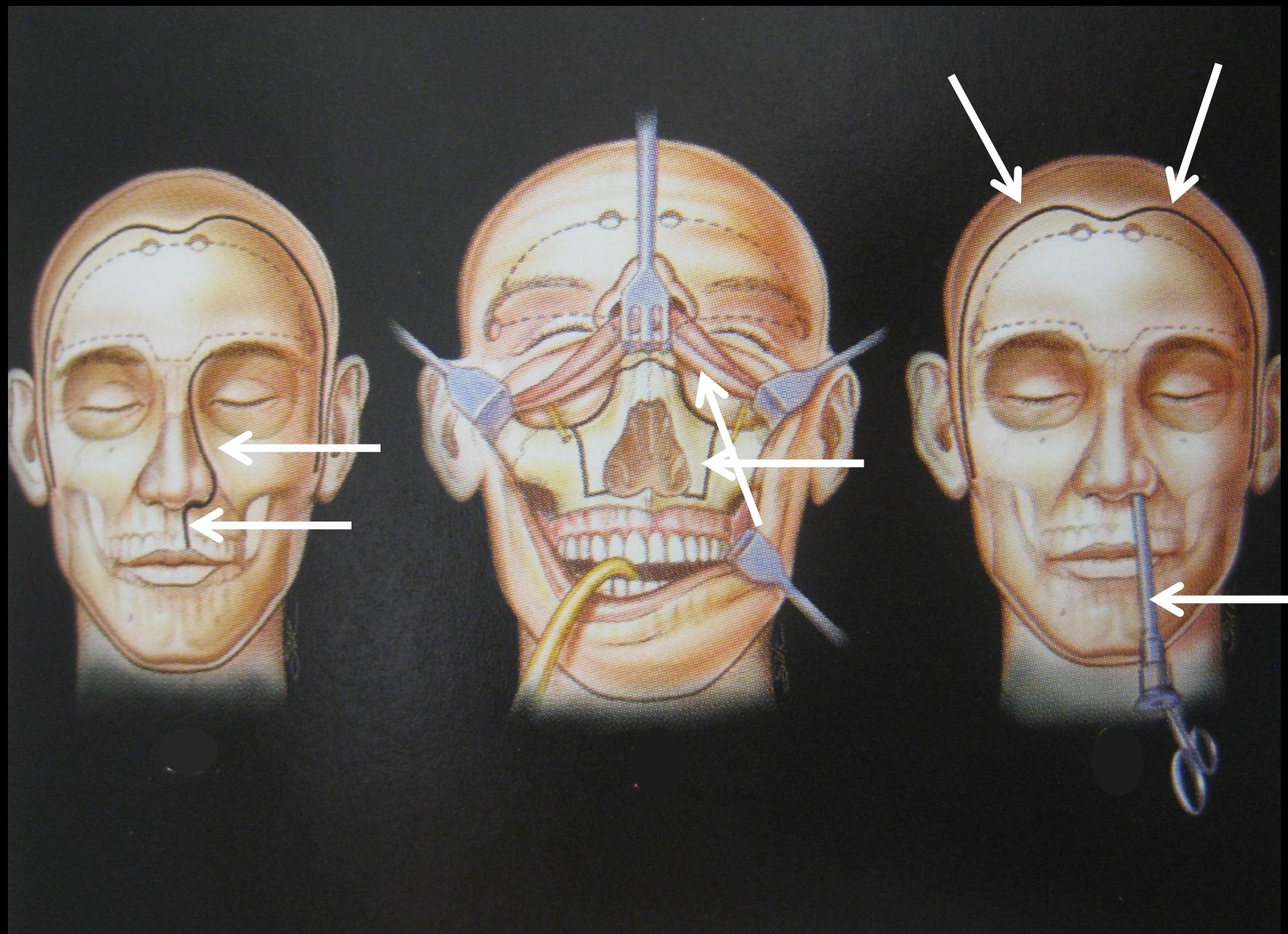
5. Survival is improving (from 58% to 72% 5-years OS)

6. Independent risk factors for poor prognosis:

- Positive margins
- High grade tumor

7. Independent factors for a favorable prognosis:

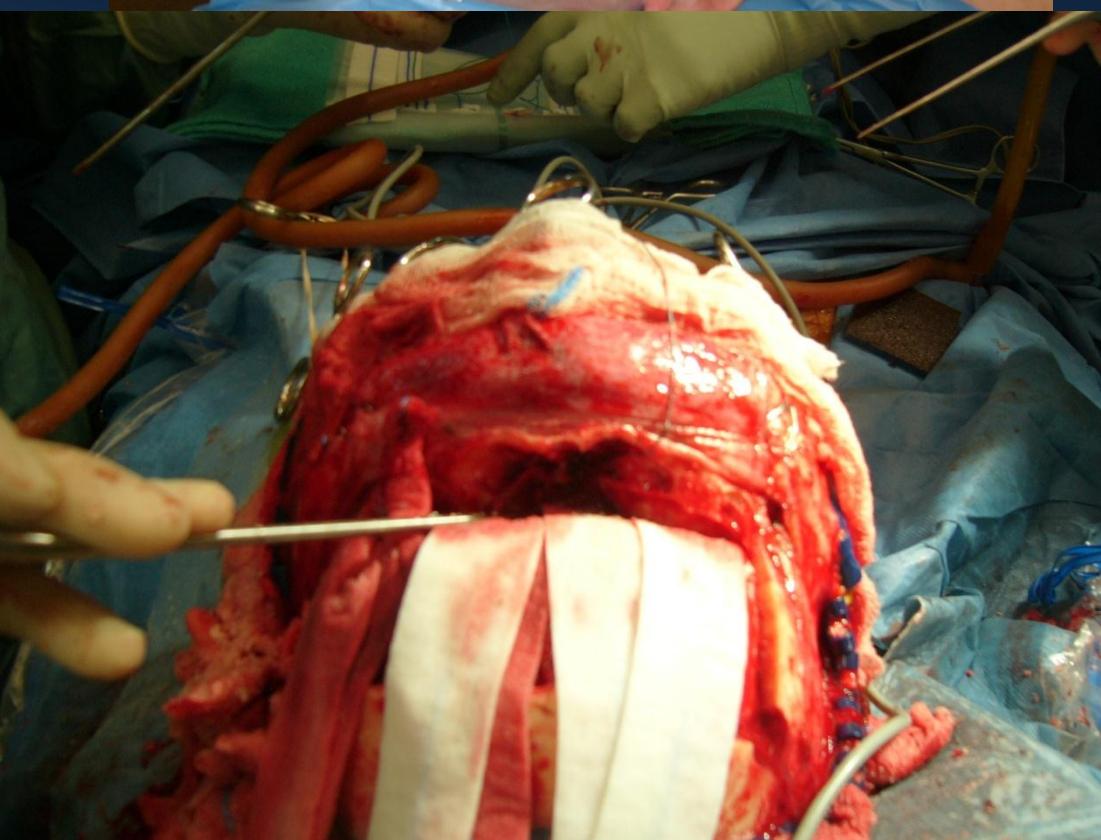
- The use of adjuvant radiotherapy
- Surgery after 1995

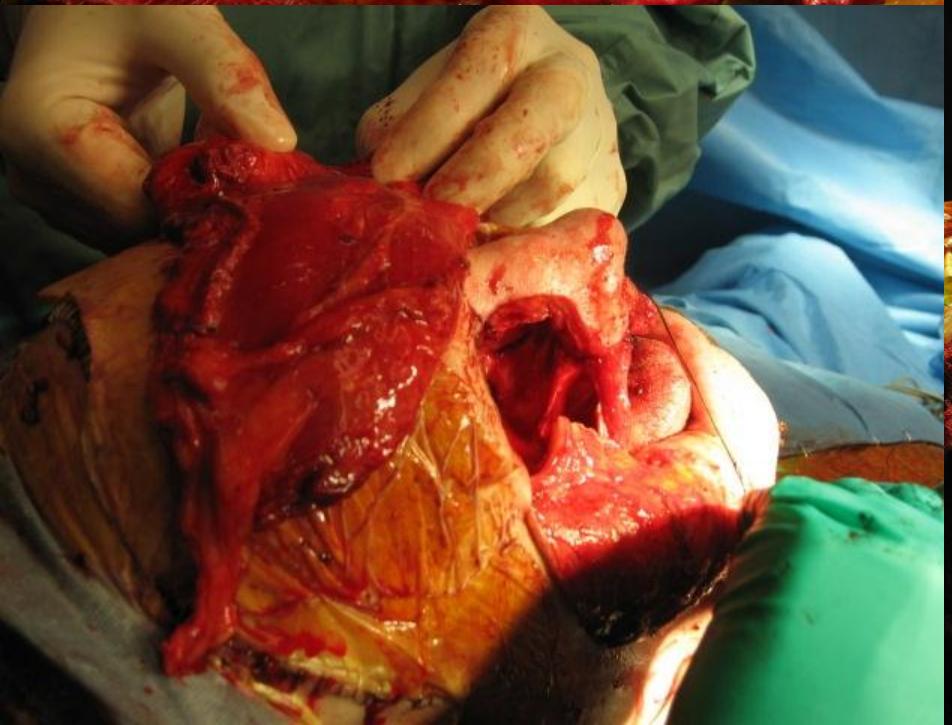
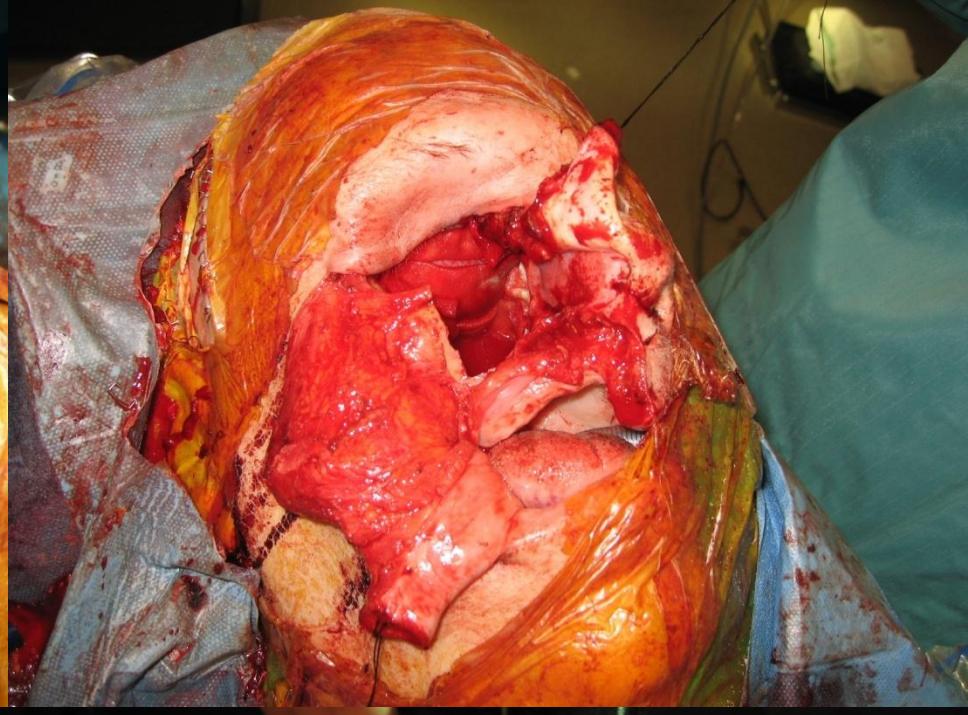
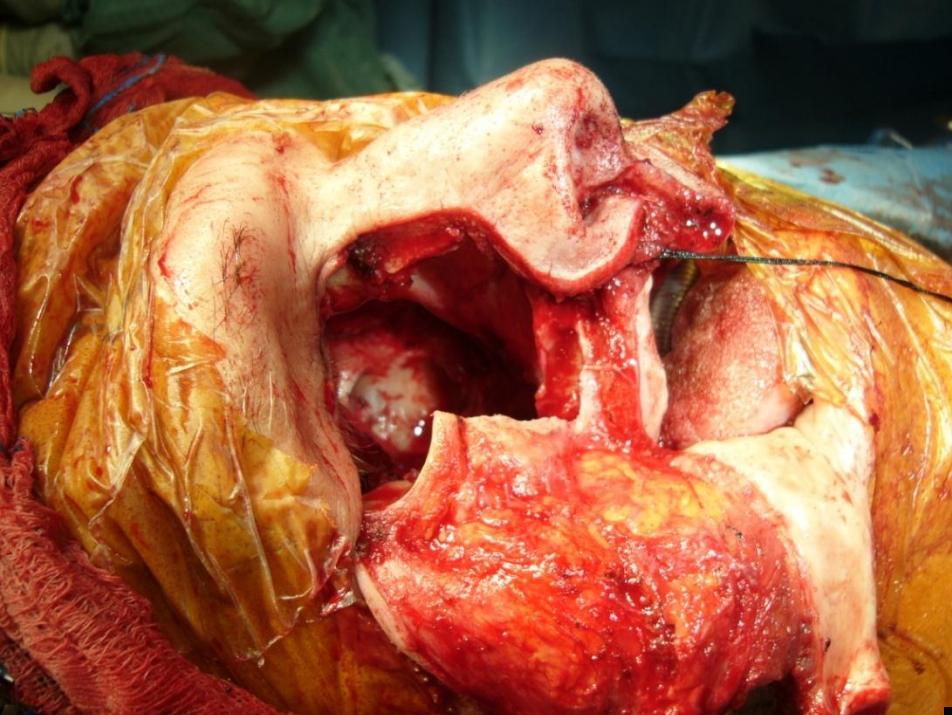


# Open Skull Base Approaches

“Craniofacial resection is the ‘**gold standard**’ in the management of malignancy and extensive benign pathology affecting the anterior skull base when surgery is indicated”

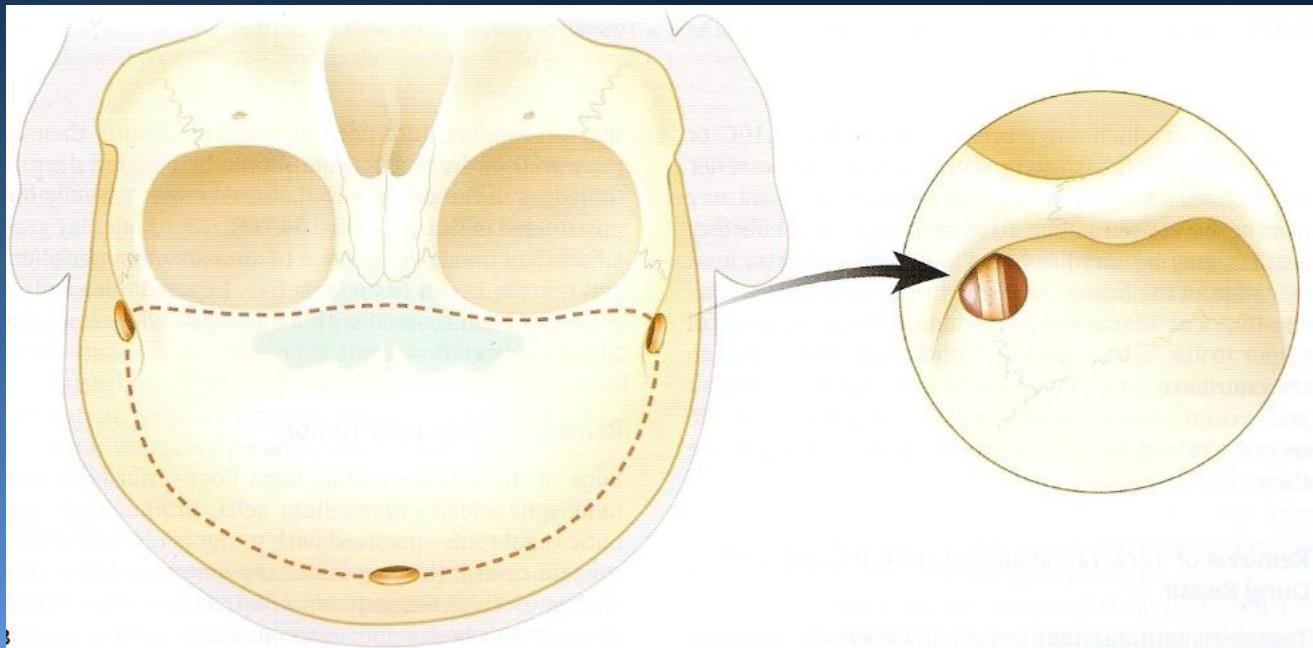
Howard and Lund, *Head and Neck*, 2006



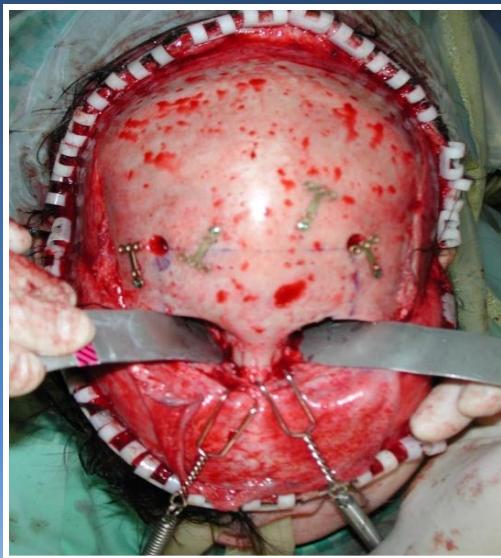
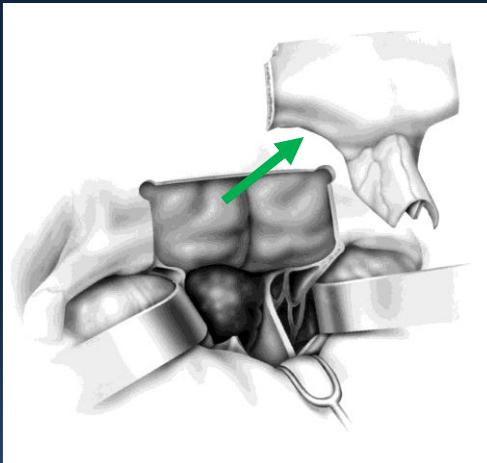
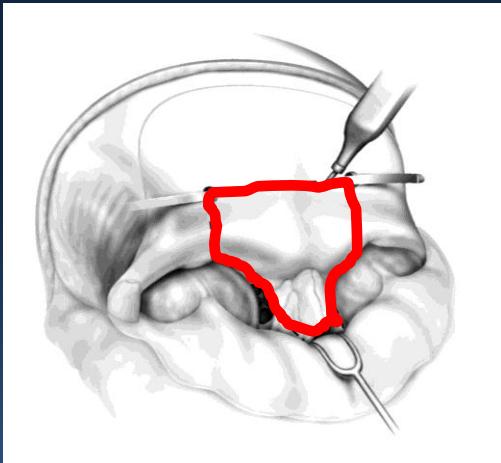


# Bifrontal Craniotomy Approach

- Excellent exposure from the frontal sinuses to the perichiasmal region

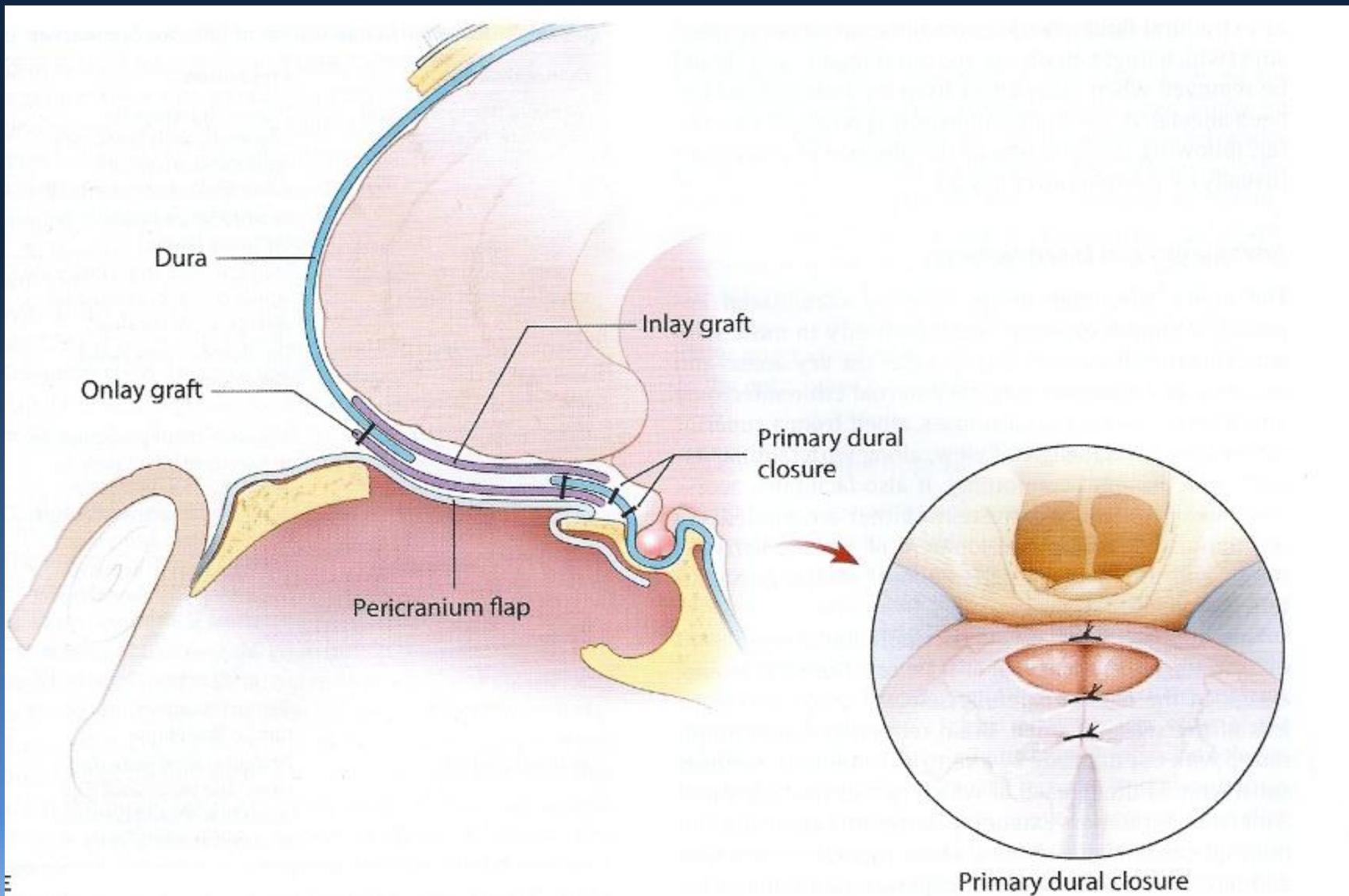


# The Subcranial Approach



Raveh et al. Arch Otol H&N Surg 1993

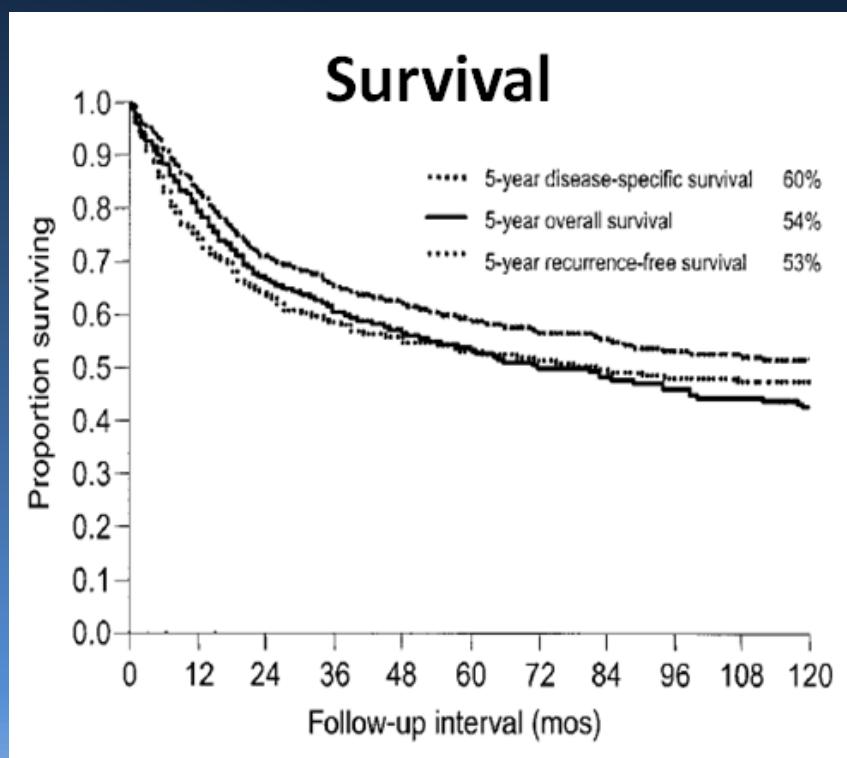
Fliss et al. Laryngoscope 1999



# EBM in SB Surgery

## Craniofacial Surgery for Malignant Skull Base Tumors

*Report of an International Collaborative Study*

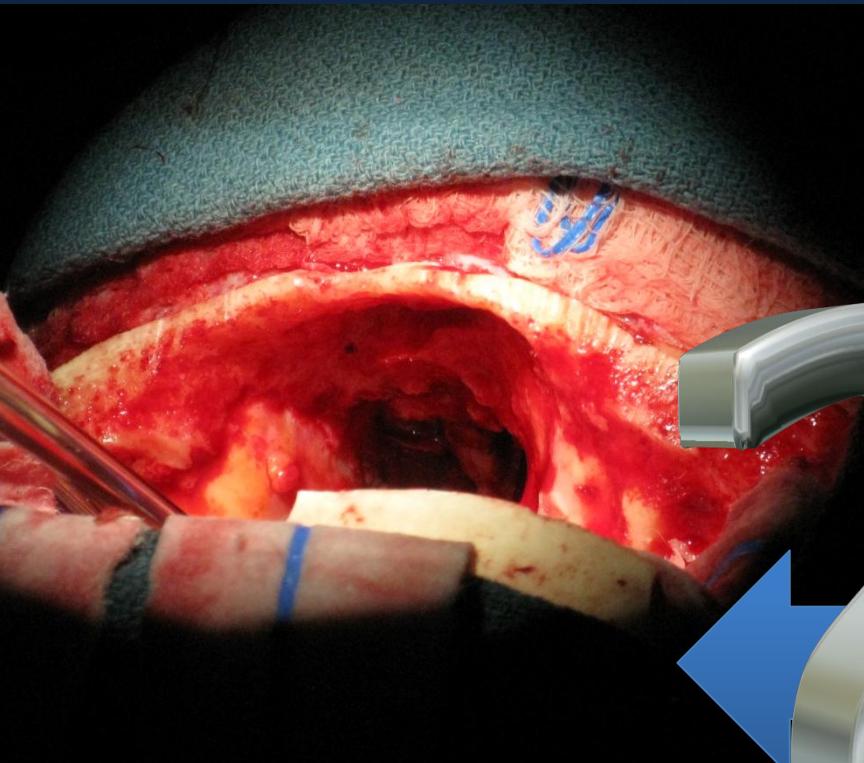


- Post operative mortality – 4%
- Post operative complications – 33%

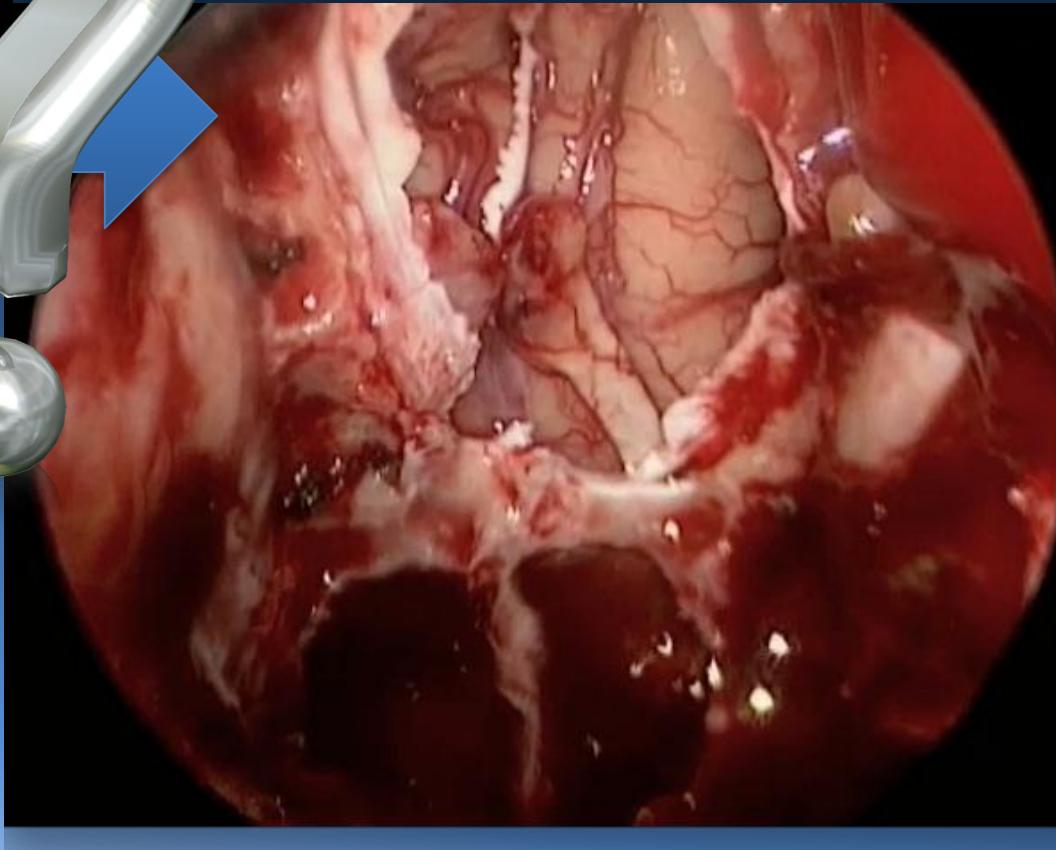
# Prognostic Factors

- Status of surgical margins
- Histology of the primary tumor
- Intracranial involvement

Independent factors on multivariate analysis (OS, DFS, RFS)



OPEN  
CRANIOTOMY



ENDOSCOPIC

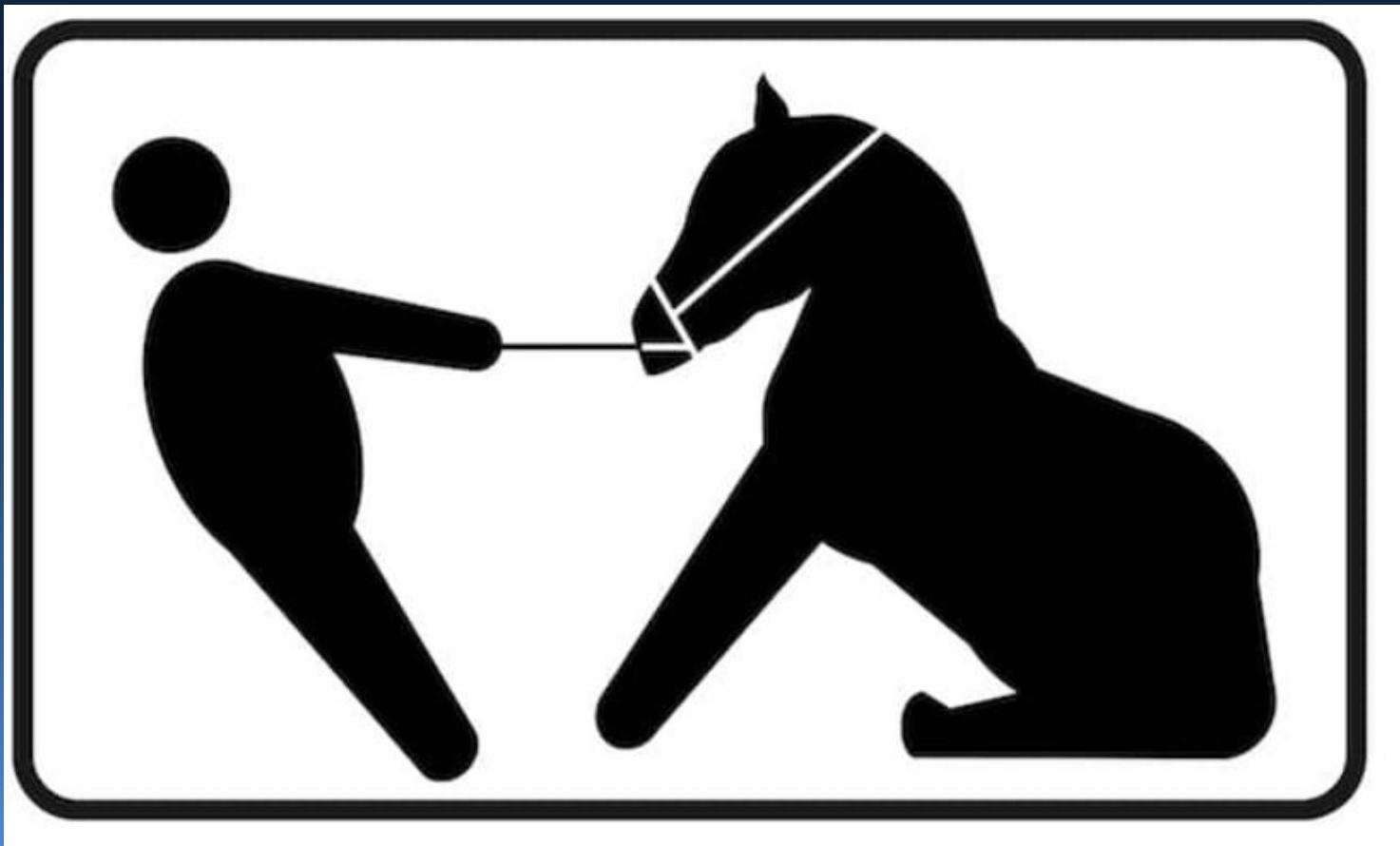


# Change Management



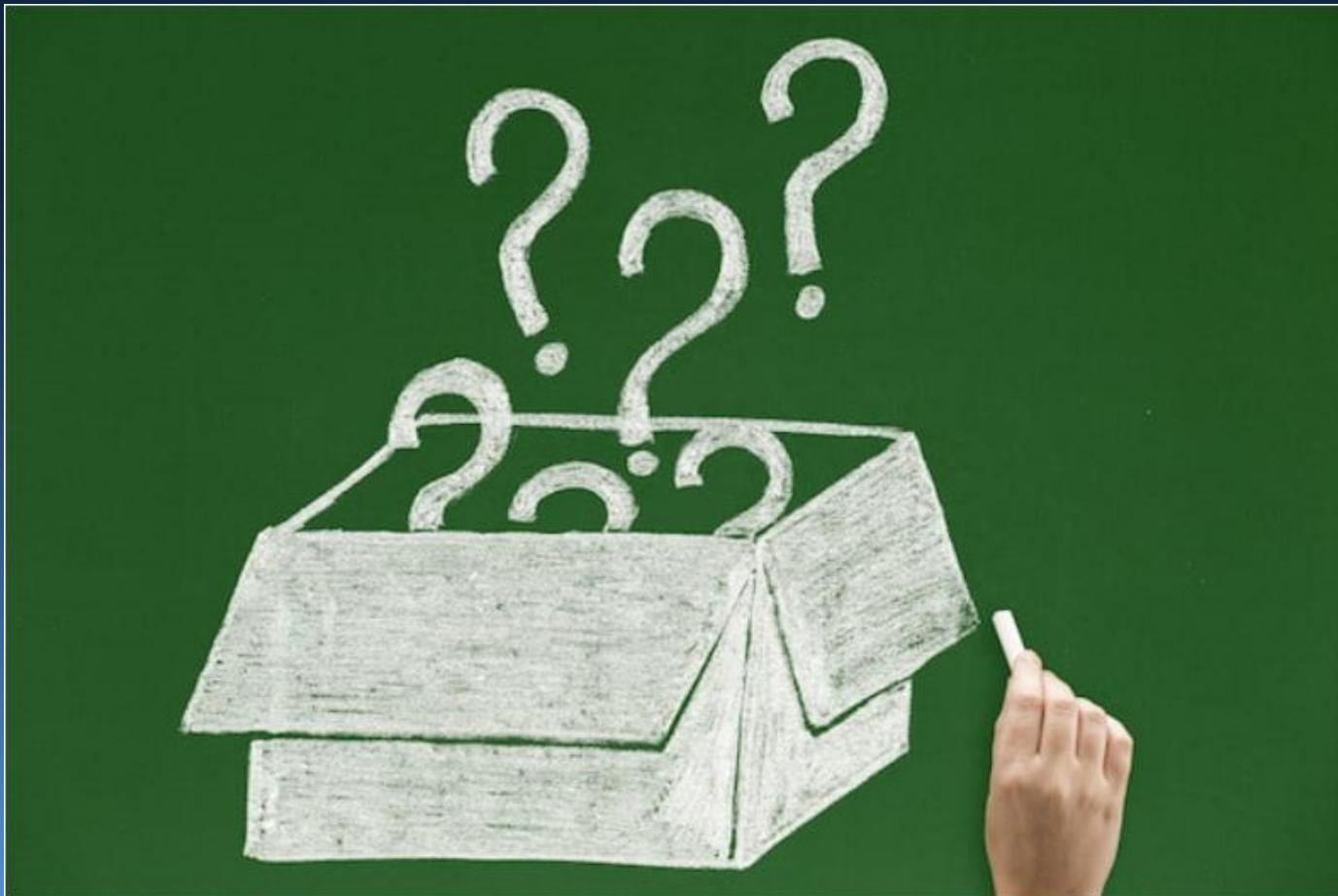
Denial

# Change Management



Resistance

# Change Management



Exploration

# Change Management



Acceptance

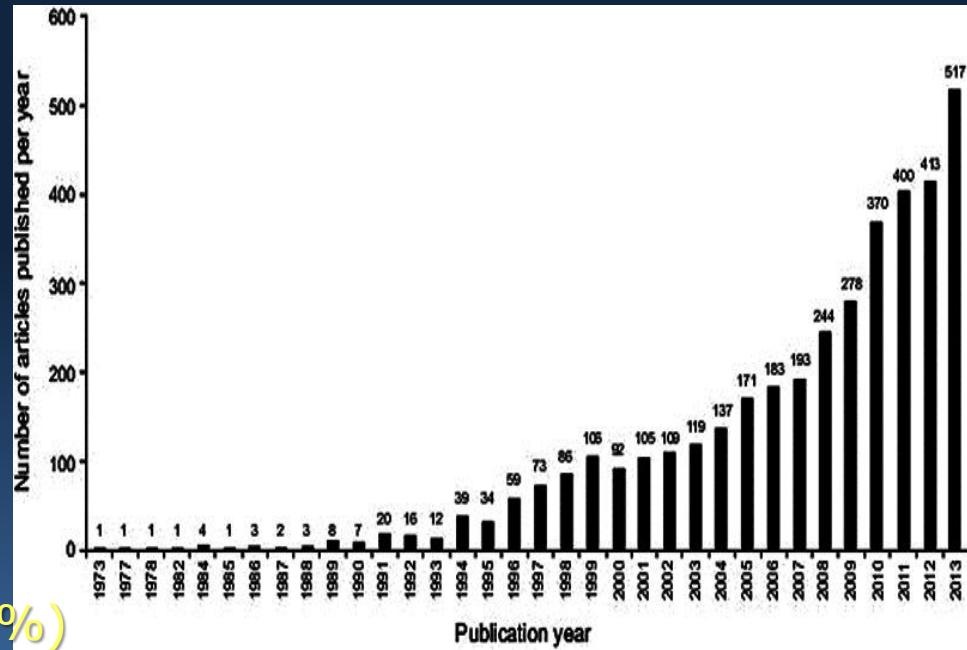
# Expanded Endonasal Approach

- A paradigm shift
- Piecemeal vs. en block resection
- Oncologic mindset



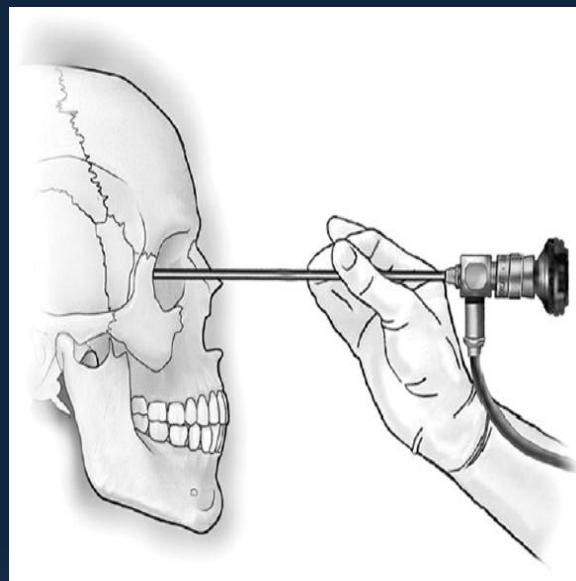
# EEA to the Anterior Skull Base

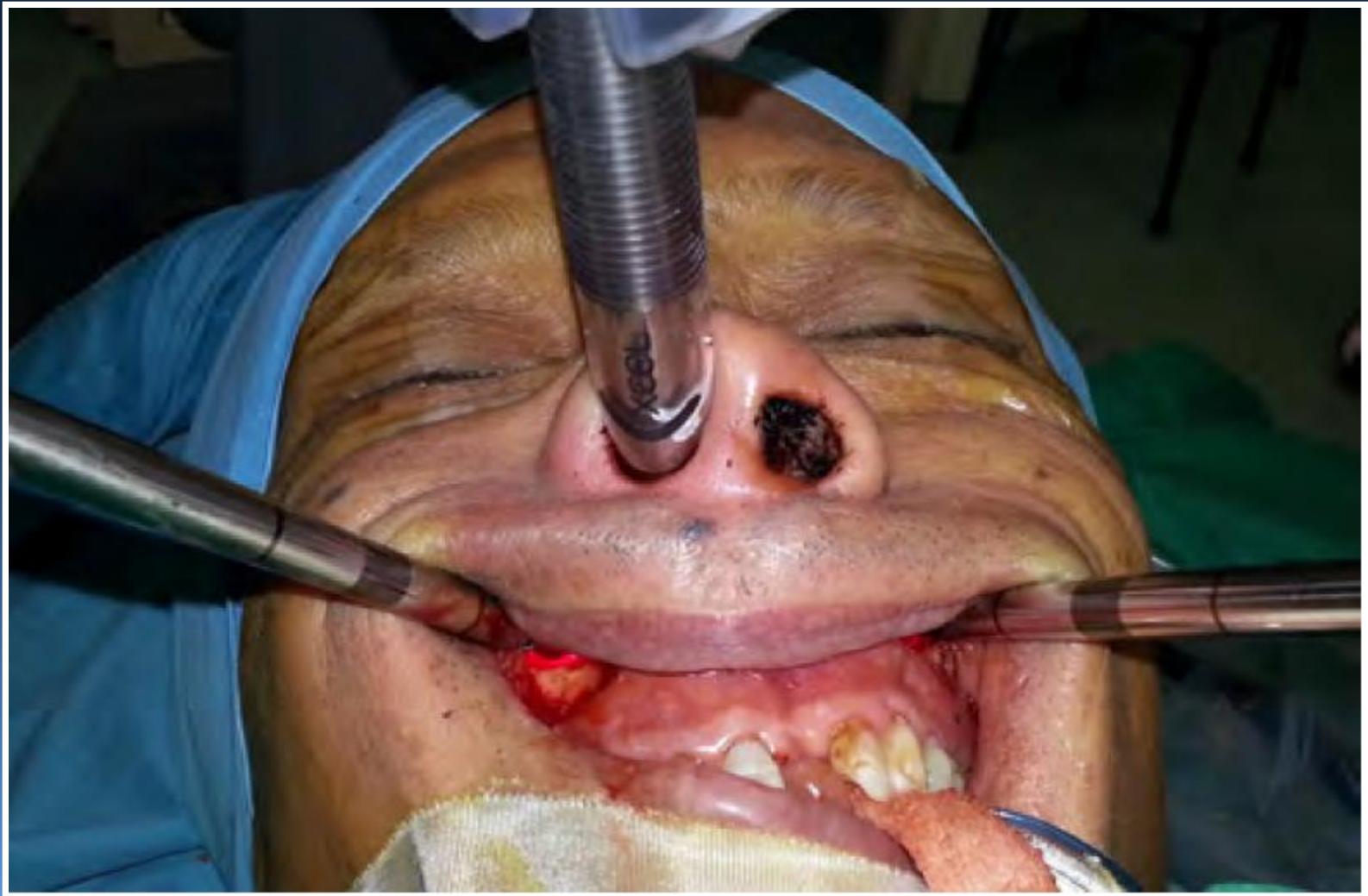
- Dramatic increase in publications/year
  - 1973-1993: <30/year
  - 2011-2013: >400/year
- *50 most cited* pubs:
  - Clinical case series (37%)
  - Novel surgical technique (24%)



Hardesty et al, J Neurol  
Surg 2016

No RCTs, QOL surveys or cost-effectiveness analyses within 50 most cited





Operative Techniques in Otolaryngology, Vol 25, No 3, September 2014

# Endoscopic management of:

- ✓ Most inverted papillomas
- ✓ Some angiofibromas (I/II)
- ✓ Selected fibroosseous tumours

# Learning Curve Issues



*It's a long way up, and a long fall down...*

# Endoscopic transnasal craniectomy in the management of selected sinonal malignancies

Andrea Bolzoni Villaret, M.D.,<sup>1</sup> Arkadi Yakirevitch, M.D.,<sup>2</sup> Andrea Bizzoni, M.D.,<sup>1</sup>  
Roberta Bosio, M.D.,<sup>1</sup> Maurizio Bignami, M.D.,<sup>3</sup> Andrea Pistochini, M.D.,<sup>3</sup> Paolo Battaglia,<sup>1</sup>  
Paolo Castelnuovo, M.D.,<sup>3</sup> and Piero Nicolai, M.D.<sup>1</sup>

*Am J Rhinol Allergy 2010*

# Endoscopic Resection of Sinonal Cancers With and Without Craniotomy

## Oncologic Results

Ehab Hanna, MD; Franco DeMonte, MD; Samer Ibrahim, MD;  
Dianna Roberts, PhD; Nicholas Levine, MD; Michael Kupferman, MD

*Arch Otolaryngol Head Neck Surg 2009*

# EEA Summary - Malignancy

- Endoscopic oncologic outcomes no worse than open in selected cases
- Shorter follow-up in endo series
- Issues of different disease burden, heterogeneous pathology and eras for comparison

- No pathology specific survival data
- Equivalent rate of positive resection margins
  - Open 15.6-17%
  - Endo 10-19%
- Margin status more important than en bloc resection

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# Treatment of Esthesioneuroblastoma: A 16-Year Meta-Analysis of 361 Patients

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Anand K. Devaiah, MD; Michael T. Andreoli, BA

*Laryngoscope 2009*

- 1992-2008
- 361 cases of Esthesio

TABLE V.  
Kadish Staging.

	Kadish Stage			
	A	B	C	D
Open	11	47	95	4
Endoscopic	11	11	16	1
Endoscopic and endoscopically assisted	15	19	21	1
Nonsurgical	3	6	33	2

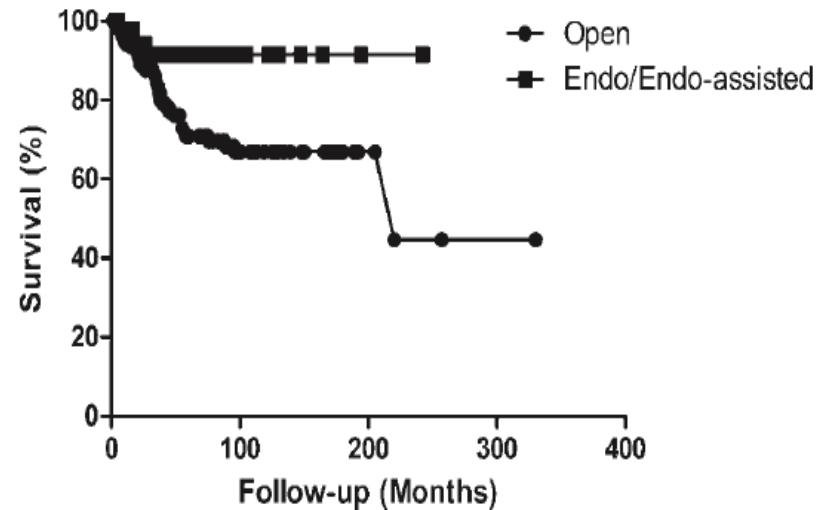
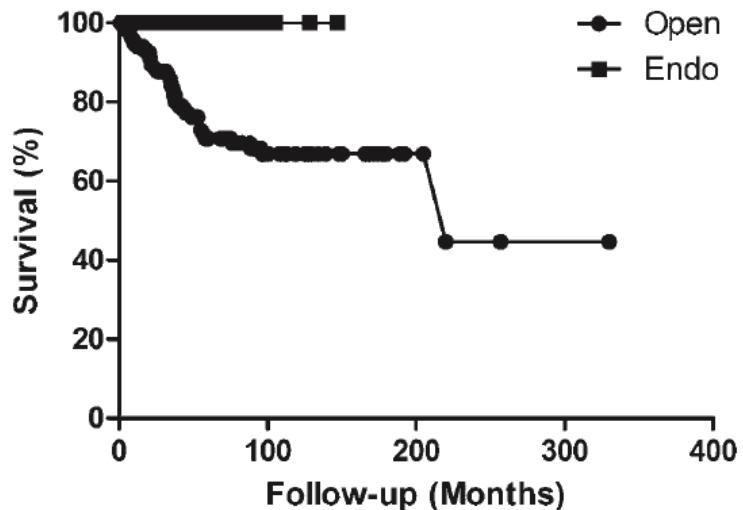
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TABLE VI.  
Median Follow-up Times (2002–2008).

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	Follow-up (mo)
Open	51.0
Endoscopic	54.5
Endoscopically assisted	44.0
Nonsurgical	17.0

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Greater survival rates for endoscopic c/w open surgery (even when stratifying for publication year)

Issue:

Not stage matched comparison

## **Evidence-based practice: endoscopic skull base resection for malignancy.**

Rawal RB<sup>1</sup>, Gore MR, Harvey RJ, Zanation AM.

Otolaryngol Clin North Am. 2012 Oct;45(5):1127-42.

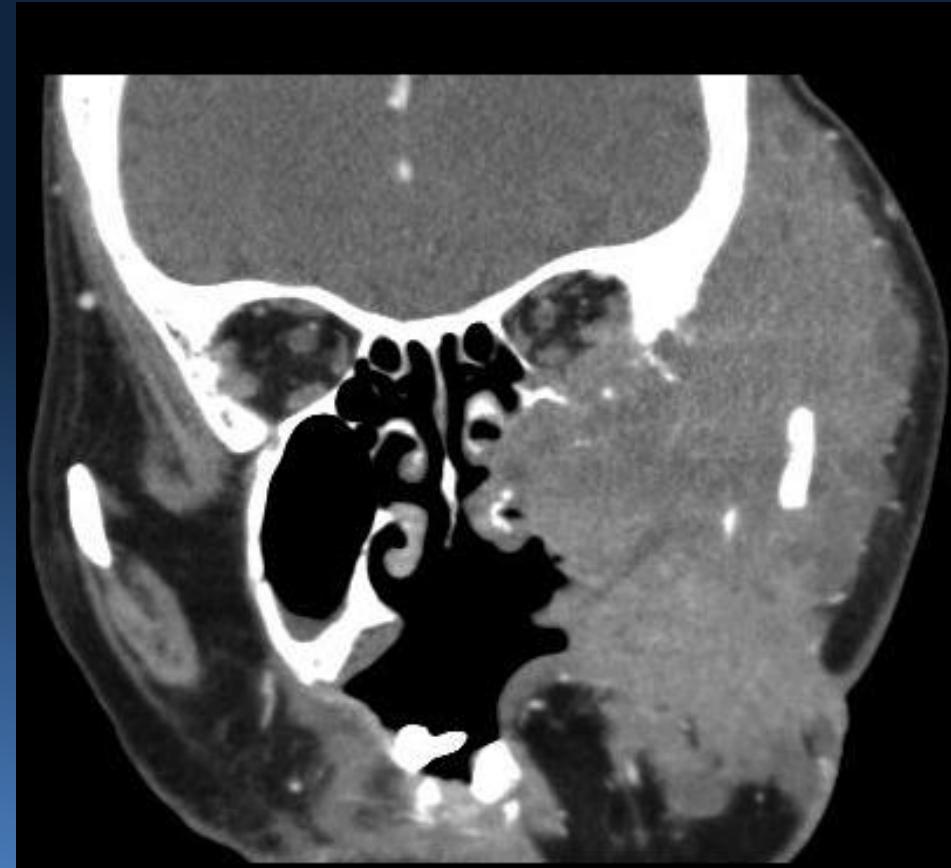
- Heterogeneity and rarity of sinonasal malignancy
- Evidence for clinical outcomes of endoscopic approaches versus traditional craniofacial resection is low

Benign tumors

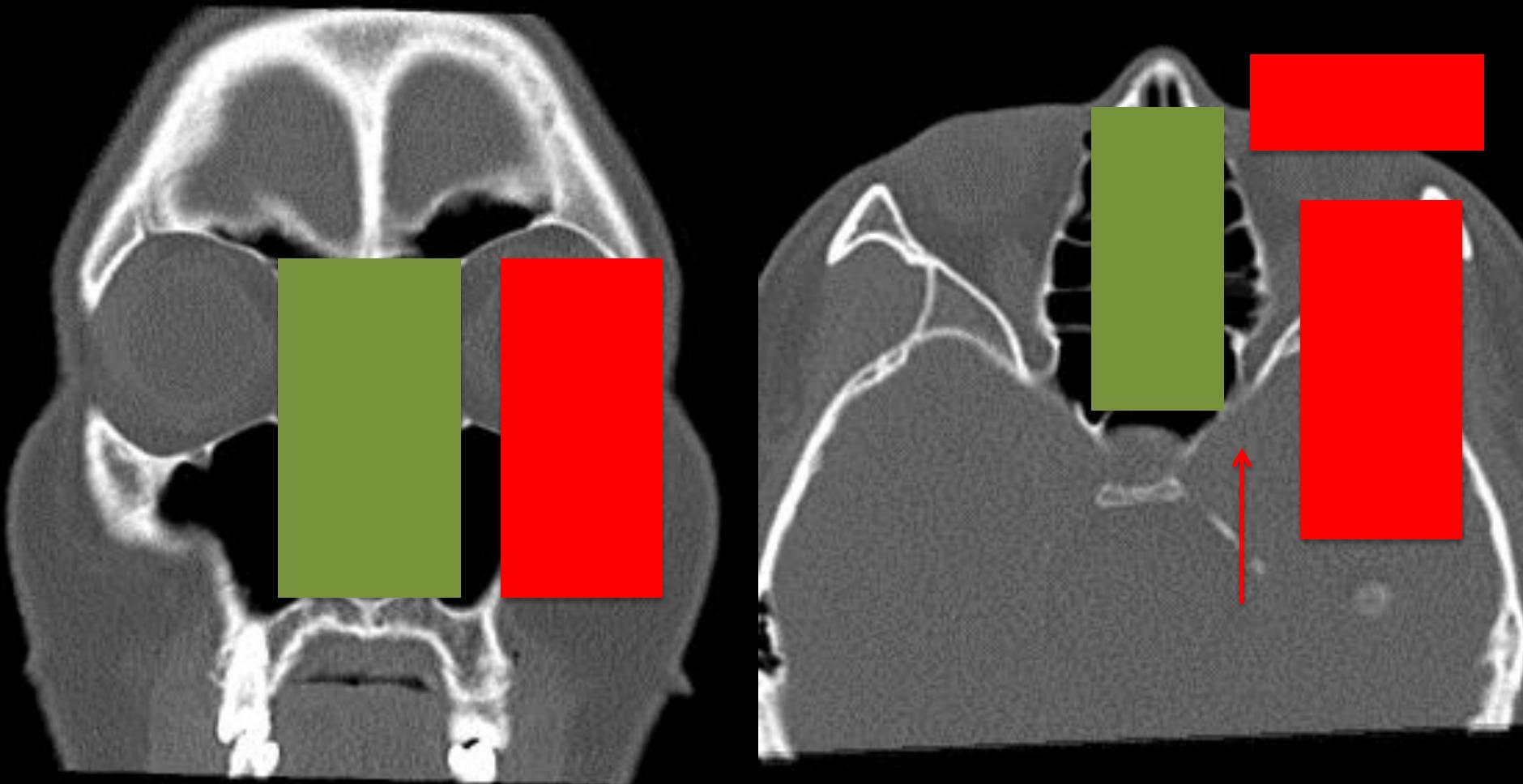
Selected  
malignant  
tumors

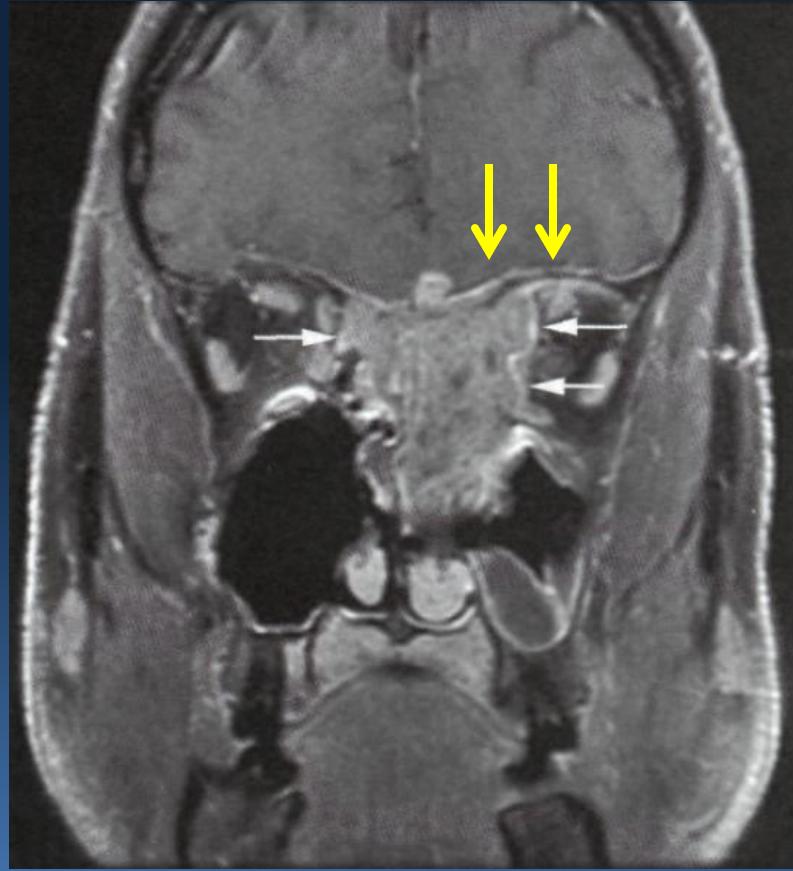
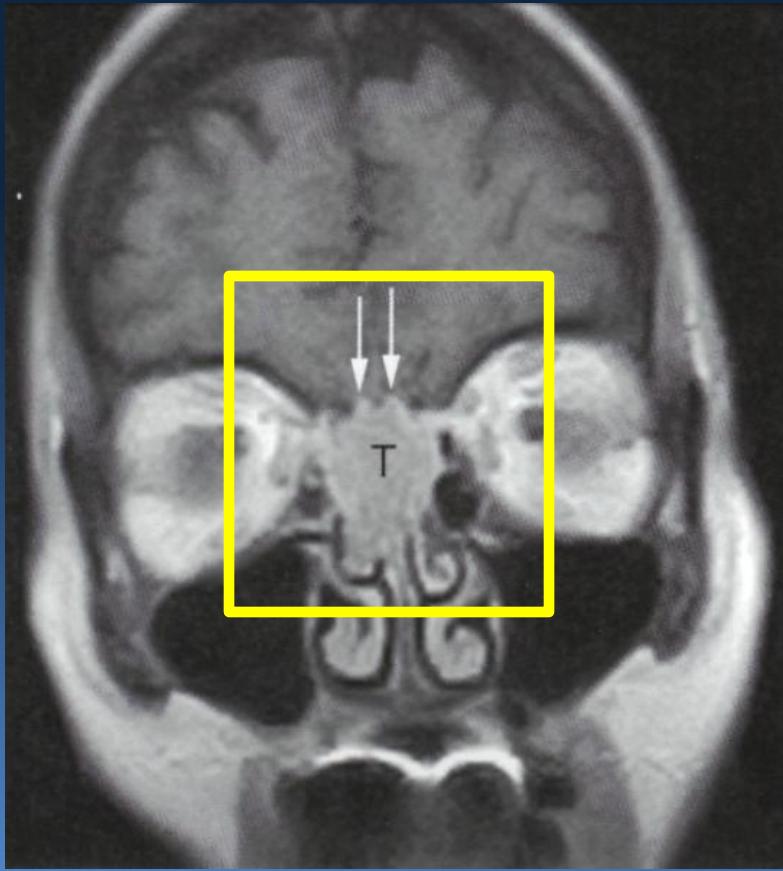
Malignant tumors involving:  
• Orbit or extraocular tissues  
• Lateral to medial wall  
neurovascular structures

# Not open or endoscopic



# Indications and Contraindications

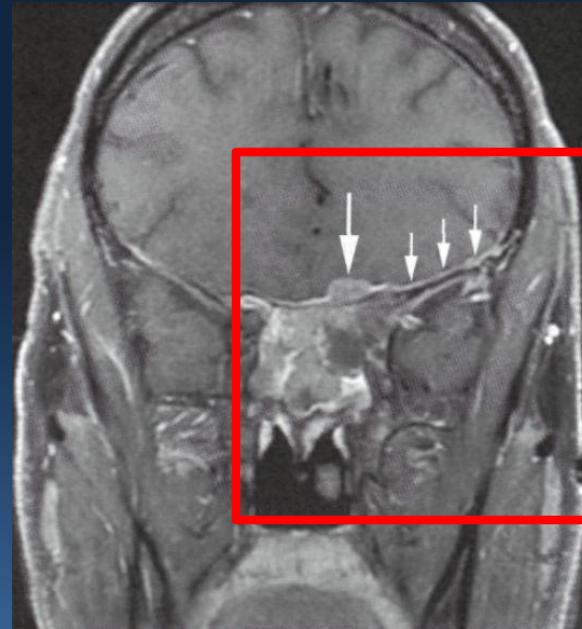




# Contraindications for Endoscopic Resection

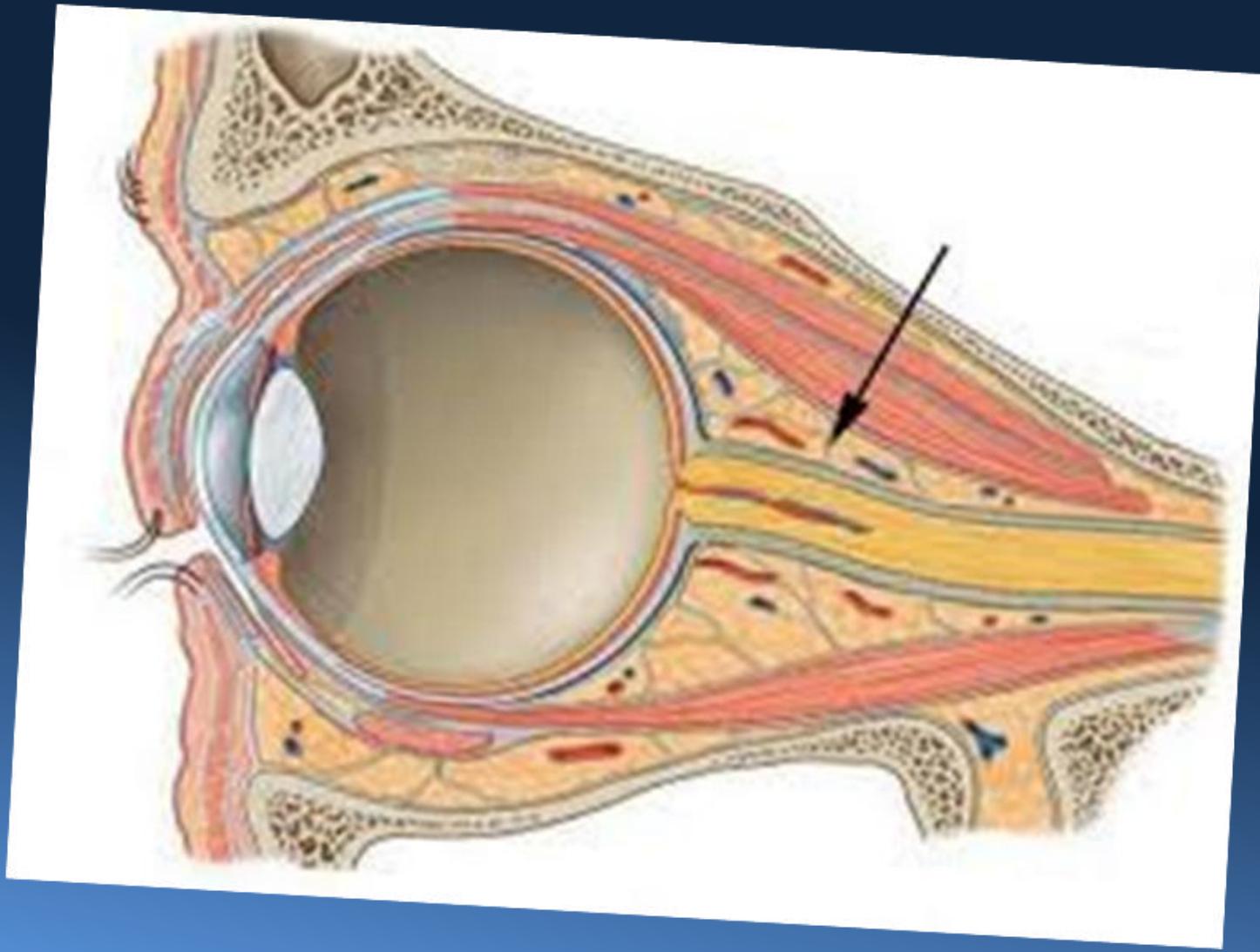
## Involvement:

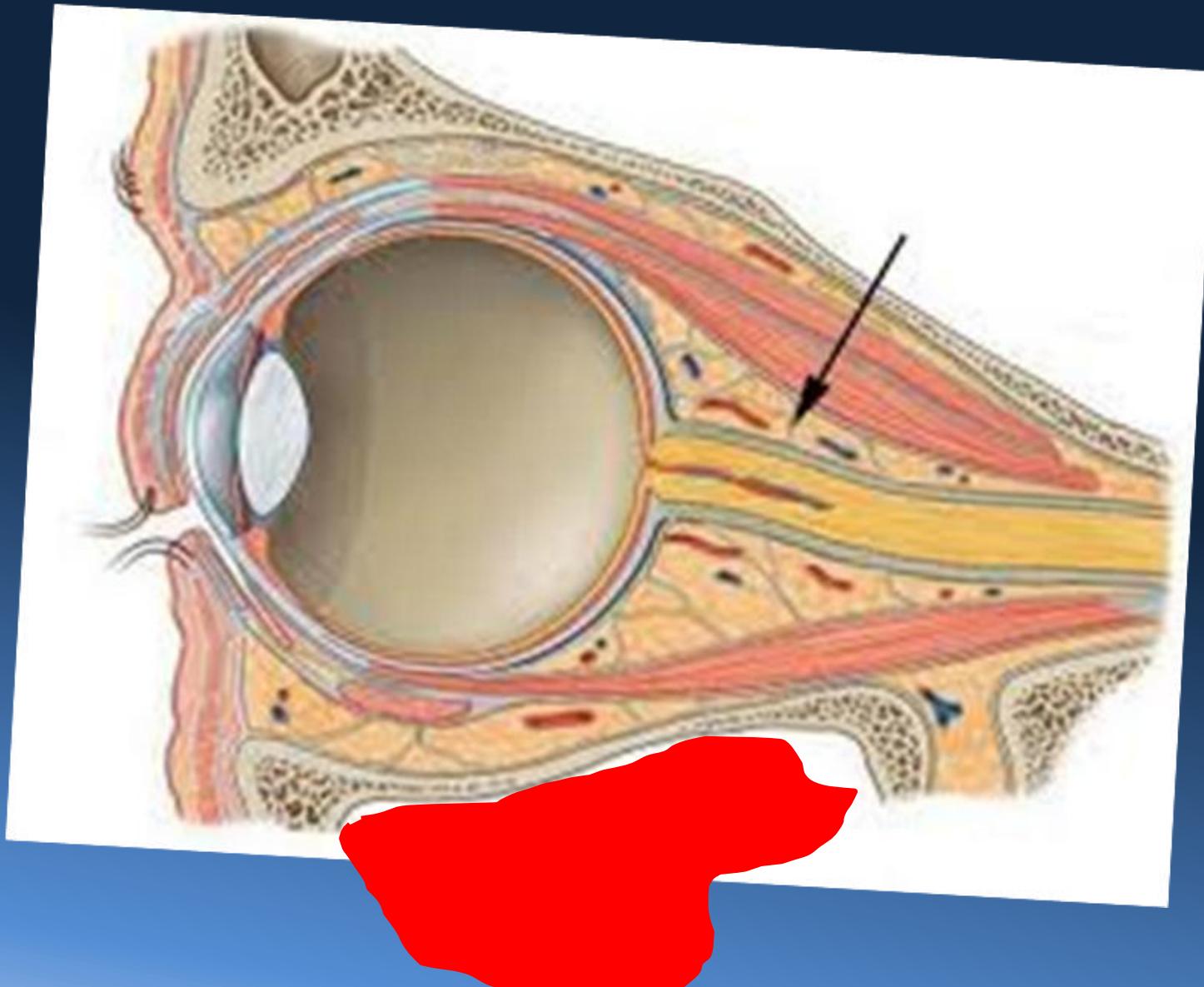
- Dura lateral over orbit
- Frontal sinus
- Nasal bones
- Skin
- Orbit
- Brain

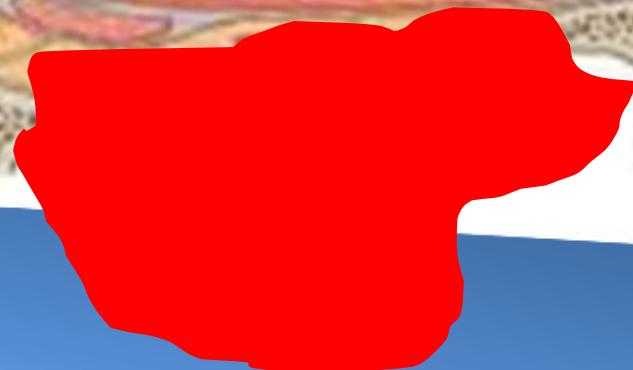
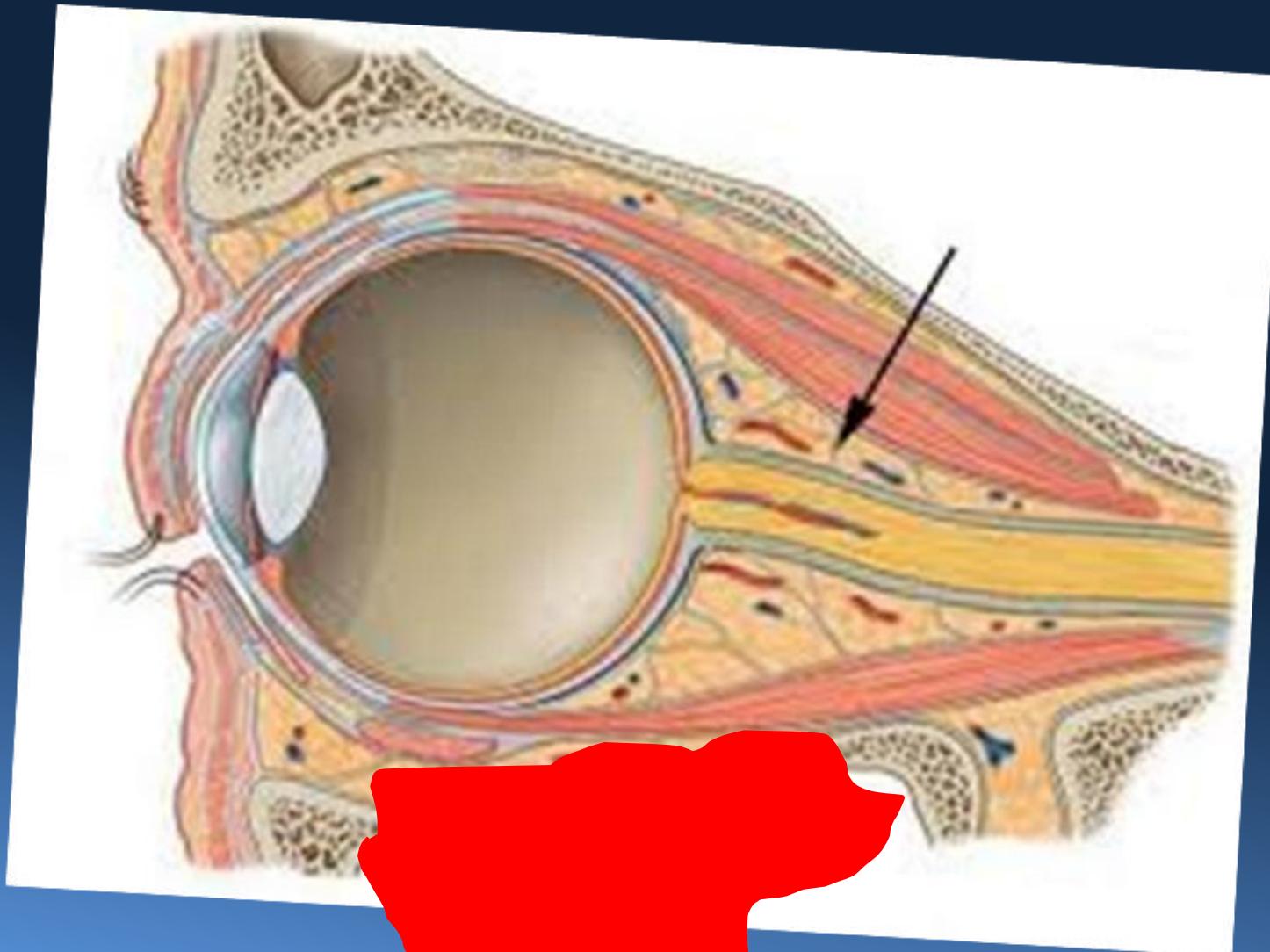


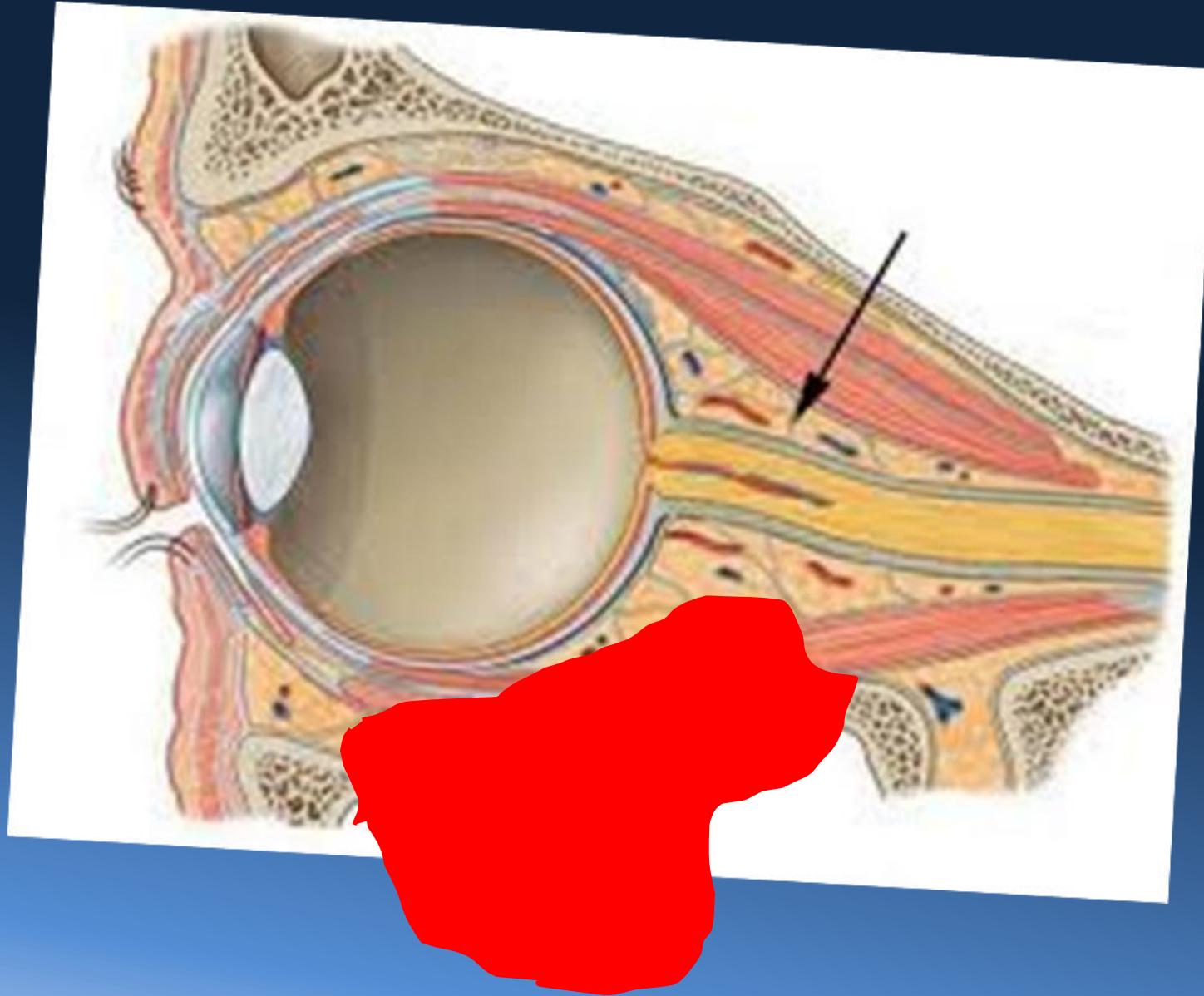
# Orbital Involvement

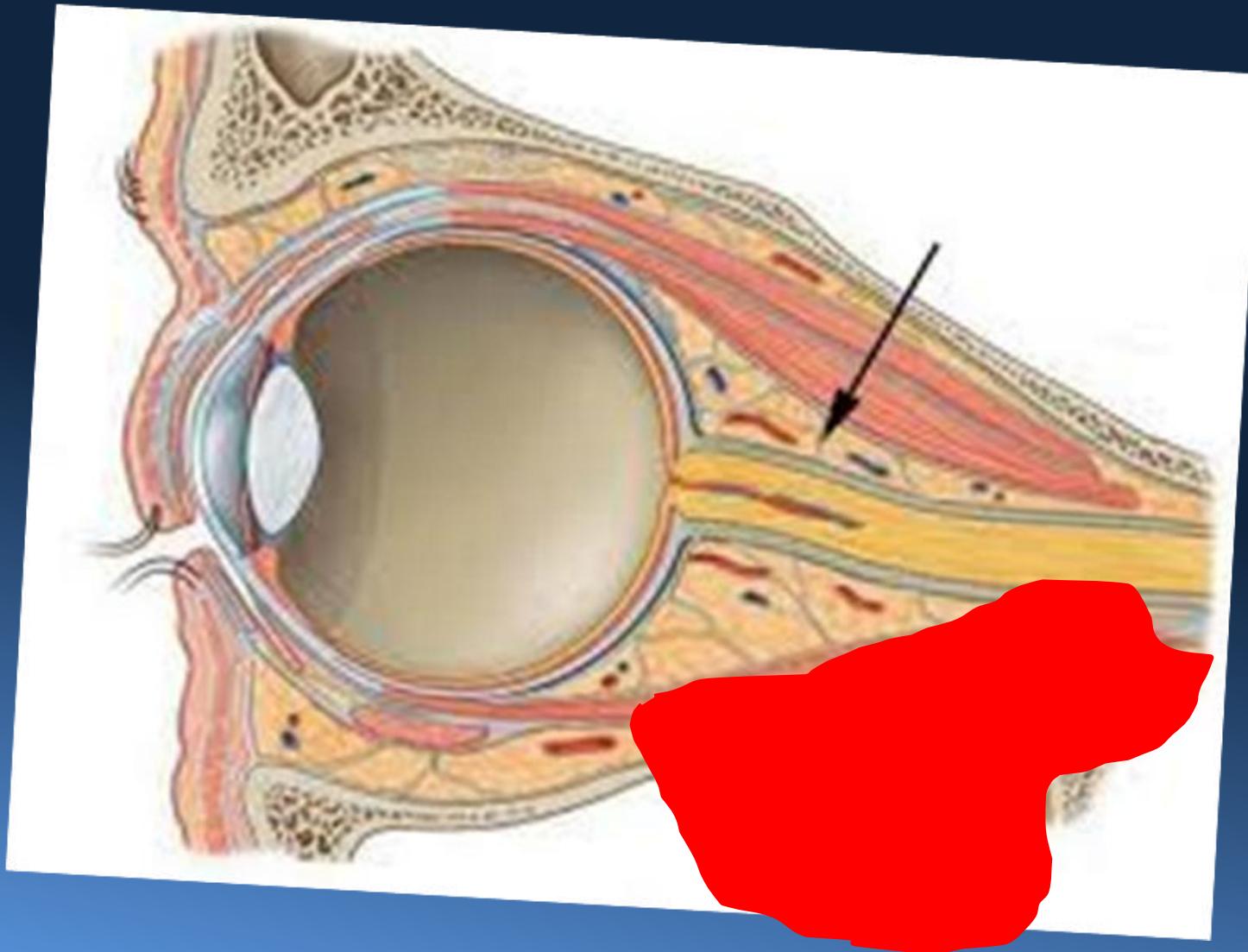
- Suspected from Hx, physical and imaging
- Gold standard is intraoperative frozen section









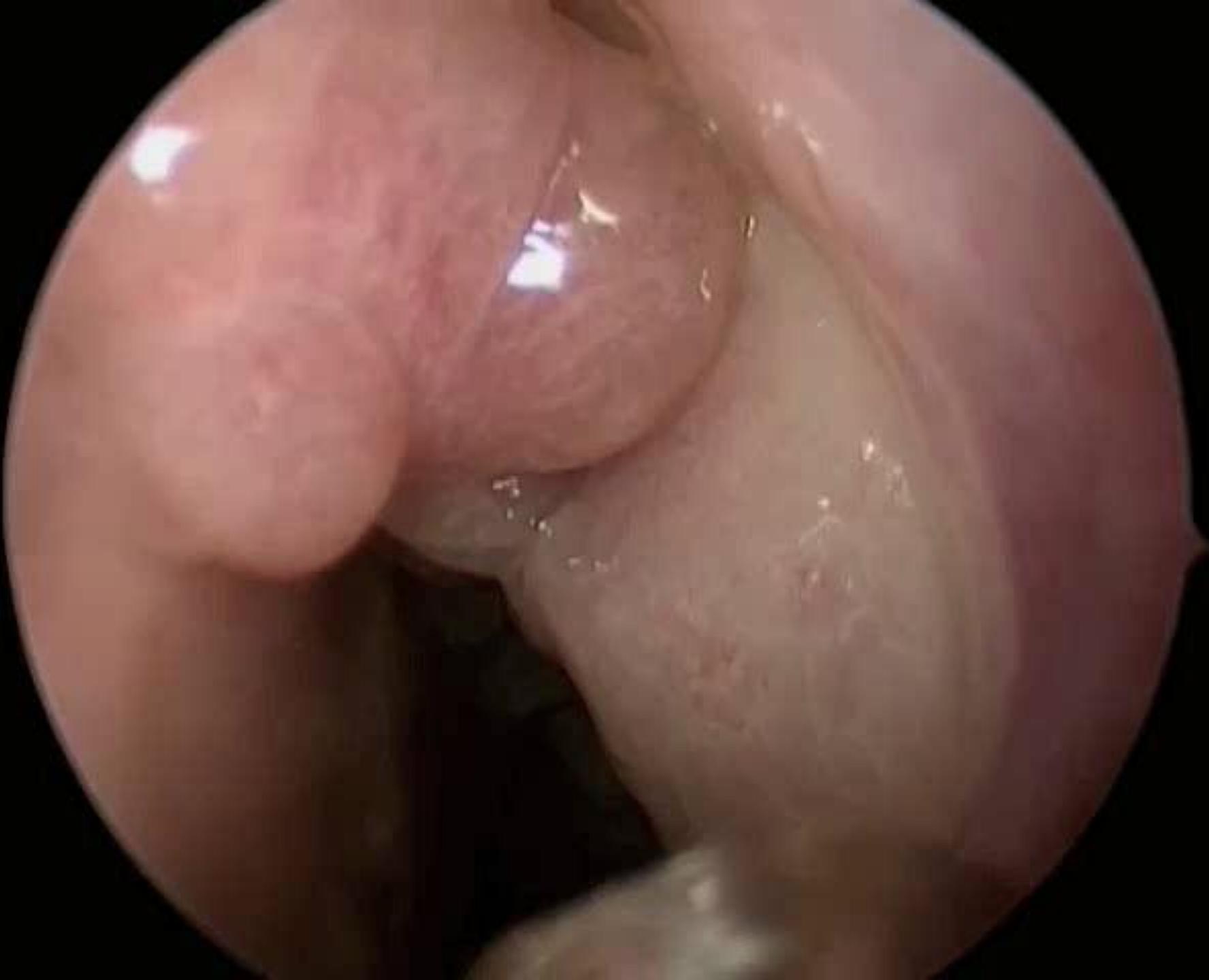


# Principles of endoscopic resection

- Complete excision of the tumor
- Debulking tumor to find attachments
- En bloc excision of the skull base and dura
- Frozen sections to ensure clear margins

# Think...

- Can it be resected with clear margins?
- Adjunctive therapies – XRT +/- chemo?
- Reconstruction options?
  - Flaps
  - Fascia
  - Allogenic

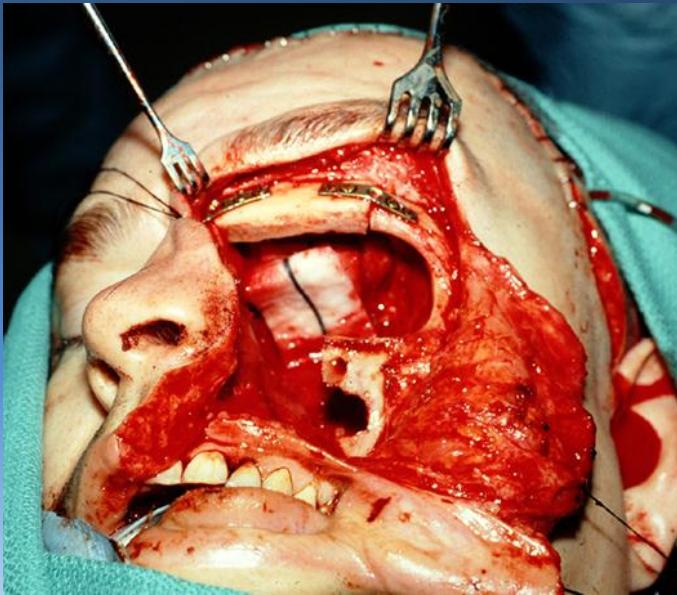


# Important Prerequisites

- Team
- Instrumentation
- Ability to:
  - Control bleeding
  - Control CSF leaks
  - Convert to open procedure

# Issues

- Centres of excellence/volume
- Rhinologist vs. head & neck oncologist
- Diminishing open cases and skill set



- I got most of it...
- Excisional biopsy/ESS biopsy
- Quality-of-life

# What to think about...

- Can it be resected with clear margins?
- Intracranial/orbital extension?
- Adjunctive therapies – XRT +/- chemo?
- Reconstruction options?
  - Will a nasoseptal flap be available?

# Summary

- Endoscopic oncologic outcomes no worse than open in selected cases
- Shorter follow-up in endo series
- Issues of different disease burden, heterogeneous pathology and eras for comparison
- We still have work to do!